



MEETING OF THE BOARD OF GOVERNORS  
Committee on Historically Minority-Serving Institutions

October 19, 2022 at 1:45 p.m.  
Via Videoconference and PBS North Carolina Live Stream  
UNC System Office  
140 Friday Center Drive, Room 128  
Chapel Hill, North Carolina

## AGENDA

- A-1. Approval of the Minutes of September 15, 2021 .....Reginald Holley
- A-2. Enterprise Risk Management Overview ..... Frederick Sellers  
Alyn Goodson, Elizabeth City State University
- A-3. Roundtable Discussion: Managing and Mitigating Enterprise Risks.....Reginald Holley  
Chancellor Johnson Akinleye, North Carolina Central University  
Chancellor Darrell Allison, Fayetteville State University  
Chancellor Robin Cummings, University of North Carolina at Pembroke  
Chancellor Karrie Dixon, Elizabeth City State University  
Chancellor Harold Martin, North Carolina Agricultural and Technical State University  
Chancellor Elwood Robinson, Winston-Salem State University
- A-4. Adjourn

### Additional Information Available

2022 UNC System HMSI Risk Register Overview

### Additional Closed Information Available

Managing Risks at the UNC System Historically Minority-Serving Institutions

## DRAFT MINUTES

September 15, 2021

Via Videoconference and PBS North Carolina Livestream

UNC System Office

140 Friday Center Drive, Board Room

Chapel Hill, North Carolina

This meeting of the Committee on Historically Minority-Serving Institutions was presided over by Chair Reggie Holley. The following committee members, constituting a quorum, were also present: Kellie Hunt Blue, Jimmy D. Clark, James L. Holmes, Jr., and Sonja Nichols.

Chancellors present were Chancellor Akinleye and Chancellor Dixon.

Staff members present included Timothy Minor from the UNC System Office, as well as Lindsay Bierman, Justine Schmidt, and Laura Kieler from PBS North Carolina.

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### 1. Call to Order and Approval of OPEN Session Minutes (Item A-1)

The chair called the meeting to order at 2:45 on Wednesday, September 15, 2021, and called for a motion to approve the open session minutes of April 21, 2021.

**MOTION:** Resolved, that the Committee on Historically Minority-Serving Institutions approve the open session minutes of April 21, 2021, as distributed.

**Motion:** Reginald Holley

**Motion Carried**

### 2. Preview of HMSI Access to Excellence Marketing and Branding Project

The committee heard an update on the HMSI Access to Excellence Marketing and branding project by Lindsey Bierman, Justine Schmidt, and Laura Kieler of PBS North Carolina. The project entitled the “Innovators” began the summer of 2019 and is now in its third phase. PBS North Carolina expressed sincere gratitude for this important partnership opportunity made it possible by the University of North Carolina System and University of North Carolina System Board of Governors. They also expressed great appreciation to their production partner, Triangle Boulevard, for working closely with each of the six historically minority-serving institutions (HMSI) to identify areas of innovation that are unique to them. The areas of innovation are as follows:

- Elizabeth City State University: Responsive Innovation
- Fayetteville State University: Collaborative Innovation
- North Carolina A&T State University: Smart Innovation
- North Carolina Central University: Global Innovation

- The University of North Carolina at Pembroke: Integral Innovation
- Winston-Salem State University: Remarkable Innovation

PBS North Carolina will air an hour-long program on primetime on October 18, 2021, highlighting the transformative innovation occurring across six HMSIs. . It will be aired throughout the remainder of October 2021 and viewable online through the organization's multifaceted digital platforms as part of the robust multi-level marketing campaign. The media campaign will also include paid and organic social media marketing through various techniques including cross-posting and outside collaborations.

There being no further business and without objection, the meeting adjourned 4:00 p.m.

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James L. Holmes, Jr., Secretary



## AGENDA ITEM

A-2. Enterprise Risk Management Overview ..... Frederick Sellers  
Alyn Goodson, Elizabeth City State University

**Situation:** The Committee on Historically Minority-Serving Institutions will examine the top risks identified by HMSIs during the most recent enterprise risk management and compliance process.

**Background:** Section 1300.7 of the UNC Policy Manual, *University Enterprise Risk Management and Compliance*, aims to address risks related to compliance with laws and ethical standards at the system level, and to complement and support the risk management and compliance processes and activities of the constituent institutions. The process requires the UNC System Office and each institution to identify risks that impact the institution's goals and develop plans to monitor and mitigate risks. The HMSIs identified several risks during this year's risk management process including cybersecurity, talent management, and funding and infrastructure.

**Assessment:** The committee will receive an overview of the risk management process and the top enterprise risks identified by the UNC System Historically Minority-Serving Institutions.

**Action:** This item is for information only.



# **HMSI RISK REGISTER OVERVIEW**

*Presented by: VP Fred Sellers*

# UNC Policy Manual

(1300.7)

*Subject to the direction of the president, each constituent institution shall establish an enterprise risk management process that aligns with the institution's programs, activities, and management systems and that supports the institution's strategic and other goals.*

***The enterprise risk management processes established at each constituent institution shall include components and appropriate procedures for:***

- ✓ Identifying risks that impact the constituent institution's goals
- ✓ Developing plans to monitor and mitigate risks
- ✓ Providing periodic updates to the chancellor and the board of trustees
- ✓ Reporting significant enterprise risks to the president and, with the president's guidance, to the Board of Governors.

## **UNC System Enterprise Risk Management Process**

- 1) Annual Identification of Risks
  - 2) Ranking to Identify the Top Risks
  - 3) Submission to the System Office
  - 4) Compilation of Results
  - 5) Grouping and Summarization of Risk Topics
  - 6) Annual Reporting to the President and CARMC
- ✓ ***The listed risk were reported to the CARMC in September 2022***
    - *Cyber Security*
    - *Talent Management*
    - *Student Retention*
    - *Financial*
    - *Facilities Maintenance*
    - *Regulatory Compliance*
    - *Business Process*
    - *Mental Health & Public Safety*
  - ✓ ***HMSI grouped and summarized risks and subsequently the same risk were identified***
    - No difference was found apart from the campus risks above with the exception of *Branding*





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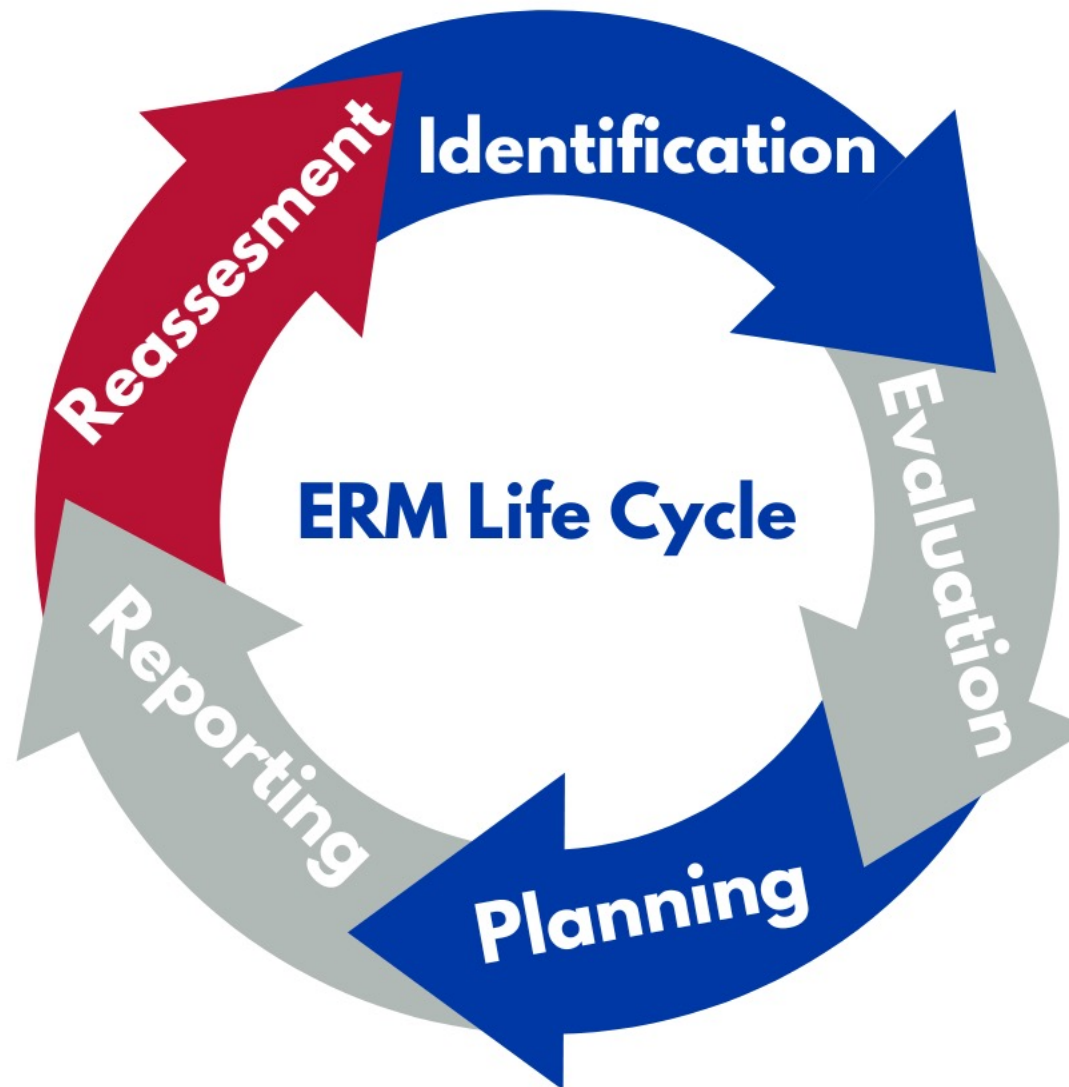
# Enterprise Risk Management

Alyn Goodson

Vice Chancellor for Operations and General Counsel



# SHARED GOALS



# THE VIKING WAY



## IDENTIFICATION

- ☐ Risk Survey
- ☐ Market Analysis



## EVALUATION

- ☐ Likelihood of Occurrence
- ☐ Impact
- ☐ Risk Register



## PLANNING

- ☐ Risk Mitigation Plan
- ☐ Risk Owner
- ☐ Institutional Crosswalk



## REPORTING

- ☐ Senior Leadership
- ☐ BOT
- ☐ UNC System Office



## REASSESSMENT

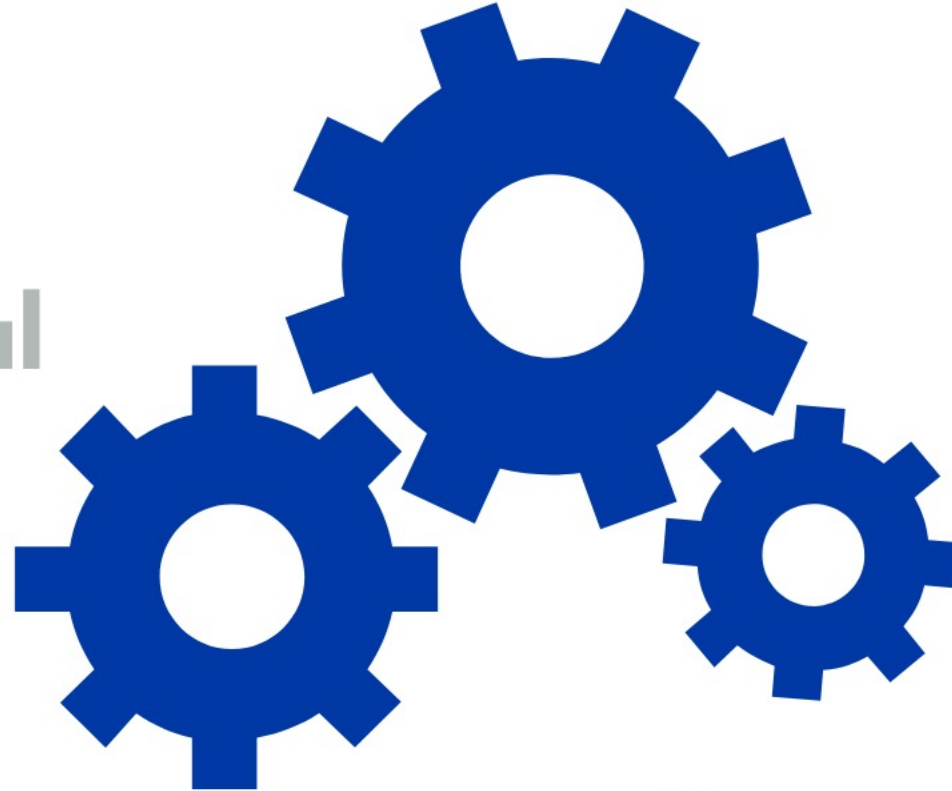
- ☐ Emerging Risk
- ☐ Mitigation Completion



# INTEGRATION

**Risk Register**

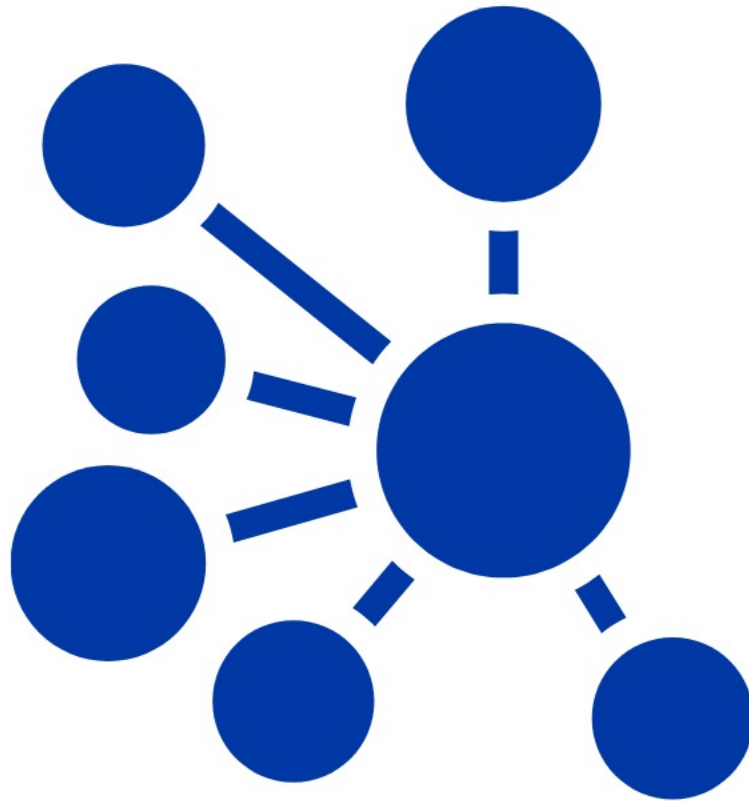
**Departmental  
Goals**



**Strategic Plan**

# MITIGATION

**Processes**



**Policies**

**Mitigation Strategies**

**Resources**



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## AGENDA ITEM

- A-3. Roundtable Discussion: Managing and Mitigating Enterprise Risks .....Reginald Holley  
Chancellor Johnson Akinleye, North Carolina Central University  
Chancellor Darrell Allison, Fayetteville State University  
Chancellor Robin Cummings, University of North Carolina at Pembroke  
Chancellor Karrie Dixon, Elizabeth City State University  
Chancellor Harold Martin, North Carolina Agricultural and Technical State University  
Chancellor Elwood Robinson, Winston-Salem State University

**Situation:** The Historically Minority-Serving Institutions’ chancellors will discuss the current risks their institutions face and identify the most promising strategies, policies, and practices that can help alleviate these risks.

**Background:** During this year’s risk management process, the HMSIs identified the following topics with the most frequency: cybersecurity; talent management; student recruitment, enrollment, and retention; campus safety and wellness; funding and infrastructure; compliance; and brand management.

**Assessment:** The HMSI chancellors will share data and additional details on their enterprise risks, how their institutions are managing these risks, and additional resources needed to mitigate risks.

**Action:** This item is for discussion only.