



MEETING OF THE BOARD OF GOVERNORS
Committee on University Governance

March 19, 2020 at 1:30 p.m.
University of North Carolina System Office
Center for School Leadership Development, Board Room
Chapel Hill, North Carolina

AGENDA

OPEN SESSION

- A-1. Approval of the Minutes of February 20, 2020 David Powers
- A-2. State Advisory Council on Indian Education Appointment..... David Powers
- A-3. East Carolina University Board of Trustees Member Complaint David Powers
- A-4. Other BusinessDavid Powers
 - a. Student Elections Working Group
 - b. Spring Commencements
- A-5. Adjourn

Additional Information Available

Report on Technical Corrections to the UNC Policy Manual

DRAFT MINUTES

February 20, 2020
University of North Carolina System Office
Center for School Leadership Development, Room 276
Chapel Hill, North Carolina

This meeting of the Committee on University Governance was presided over by Chair David Powers. The following committee members, constituting a quorum, were also present either in person or by phone: Thomas H. Fetzer, Thomas C. Goolsby, Terry Hutchens, James L. Holmes, Jr., and Wendy Murphy, who was assigned to the committee by Chair Ramsey. The following committee members were absent: W. Louis Bissette, Jr. and Pearl Burris-Floyd.

Chancellors participating were Jose Sartarelli and Peggy Valentine.

Staff members present included Thomas Shanahan, Meredith Steadman, and others from the UNC System Office.

1. Call to Order and Approval of OPEN Session Minutes (Item A-1)

The chair called the meeting to order at 1:03 p.m., on Thursday, February 20, 2020.

Chair Powers reminded all members of the committee of their duty under the State Government Ethics Act to avoid conflicts of interest and appearances of conflict of interest. The chair asked if there were any conflicts or appearances of conflict with respect to any matter coming before the committee. No members identified any conflicts at the time.

The chair called for a motion to approve the open session minutes of January 16, 2020, and February 5, 2020.

MOTION: Resolved, that the Committee on University Governance approve the open session minutes of January 16, 2020, and February 5, 2020, and as distributed.

Motion: Terry Hutchens

Motion carried

2. Review of the UNC Policy Manual (Item A-2)

The chair began by noting that, pursuant to Section 200.7 of the UNC Policy Manual, the committee had recently reviewed complaints concerning two members of the East Carolina University Board of Trustees. This particular policy had already been set for review at the February meeting, and the chair noted that this scheduling was timely, given the recent events.

The chair then called on Mr. Shanahan to provide the committee with an overview of the policy.

Following the overview, the chair opened the floor to committee members for their questions and input. The committee recognized the need for improvement and clarity to the procedures surrounding the rules, and for specifying what sanctions will result when members of the Board of Governors or boards of trustees violate those rules. This first review of Section 200.7 served as a discussion session for the committee. Based on the results of that discussion, the committee asked the staff to produce a set of revisions to the policy for the committee's further review and consideration. Review of those revisions will take place at the March meeting.

Additionally, the chair briefly discussed the role of students on university boards. Mr. Powers stated that he was in the process of forming a working group that will be composed of student body presidents, chancellors, faculty, and members of the boards of trustees and Board of Governors to review student-election procedures and ensure adequate anti-tampering procedures are in place. The composition of the working group will be finalized by the March meeting.

3. University of North Carolina at Charlotte Waiver Request from Section 200.4.II of the UNC Policy Manual (Item A-3)

The committee next considered and passed a request by UNC Charlotte for a waiver of Section 200.4.II, which requires each board of trustees to perform a self-evaluation every four years. Given the impending change in the position of chancellor at UNC Charlotte, the trustees believe that it is appropriate to seek a delay of the self-assessment until the next chancellor has been in the role for at least 12 months.

This item requires a vote by the full Board of Governors through the consent agenda at the next meeting.

MOTION: Resolved, that the Committee on University Governance approve UNC Charlotte's request for a waiver from Section 200.4.II., of the UNC Policy Manual.

Motion: James L. Holmes, Jr.

Motion carried

4. Closed Session

The chair called for a motion to move into closed session.

Motion: Resolved, that the Committee on University Governance go into closed session to prevent the disclosure of information that is privileged or confidential under Article 7 of Chapter 126 of the North Carolina General Statutes, or not considered a public record within the meaning of Chapter 132 of the General Statutes; or to consult with our attorney to protect attorney-client privilege; and to consider and give instructions concerning a potential or actual claim, administrative procedure, or judicial action for the following case: *NC Division Sons of Confederate Veterans, Inc. v. The University of North Carolina and the University of North Carolina Board of Governors*. This is pursuant to G.S. 143-318.11(a)(1) and (3).

Motion: James L. Holmes, Jr.

Motion carried

THE MEETING MOVED INTO CLOSED SESSION.

(The complete minutes of the closed session are recorded separately.)

MOTION: Resolved, that the Committee on University Governance return to open session.

Motion: Terry Hutchens

Motion carried

THE MEETING RESUMED IN OPEN SESSION.

5. Other Business (Item A-6)

The chair reminded the committee that it is responsible for Board appointments to UNC Press, which has three upcoming vacancies beginning June 30, 2020.

The chair opened the floor to the committee on any other business the committee would like to discuss. Mr. Fetzer spoke about the ECU Board of Trustee complaints recently considered by this committee, in particular the pending complaint against Mr. Max Joyner. Mr. Fetzer opined that the chair of the ECU Board of Trustees should remove Mr. Joyner from the committee assignments as he did with respect to Mr. Moore and Mr. Lewis. Chair Powers stated that the committee does not have the authority to compel the action requested by Mr. Fetzer, but that he agreed with Mr. Fetzer and would call the chair of the ECU Board of Trustees.

6. Adjourn

There being no further business, the meeting adjourned at 2:20 p.m.

Thomas H. Fetzer, Secretary

AGENDA ITEM

A-2. State Advisory Council on Indian Education NominationDavid Powers

- Situation:** There is a UNC Board of Governors-appointed term vacancy on the State Advisory Council on Indian Education. The appointment term is July 1, 2020 through June 30, 2022.
- Background:** The State Advisory Council on Indian Education is an advisory council to the State Board of Education. By statute, the council has 15 members, one of which is appointed by the UNC Board of Governors. Per G.S. 115C-210.1, the Board of Governors' appointee must be an American Indian and, preferably, a faculty member.
- Assessment:** Dr. Tiffany Locklear is recommended for the reappointment.
- Action:** This item requires a vote by the committee and a vote by the full Board of Governors.

Tiffany Locklear

Dr. Tiffany Locklear, of Red Springs, North Carolina, is a lecturer in the Elementary Education Department of the School of Education at The University of North Carolina at Pembroke. As an educator and former administrator, Locklear is committed to supporting and inspiring students teaching in the graduate and undergraduate programs and to supervising interns. Locklear is a product of UNC Pembroke School of Education, having received both her graduate and undergraduate degrees in elementary education from the institution. From the University of North Carolina Wilmington, Locklear earned add-on licensure in administration in 2014 and her Doctor of Education in Educational Leadership: Curriculum and Instruction in 2017. As part of her doctoral studies, Locklear offered mathematics professional development to teachers in Belize City, Belize and San Pedro, Belize. Locklear is a Gates Millennium Scholar and also serves on the leadership team of Unlocking Silent Histories for the Lumbee Community, in which the youth are afforded learning opportunities that are relevant to their history, heritage, and culture.

AGENDA ITEM

A-3. East Carolina University Board of Trustees Member ComplaintDavid Powers

Situation: Pursuant to Section 200.7 of the UNC Policy Manual, a member of the ECU Board of Trustees has filed a complaint seeking the removal of another ECU Board of Trustees member based on possible ethical violations related to the purchase of certain real property.

Background: Robert Moore filed a complaint against Max Joyner claiming that Mr. Joyner “possibly has violated ethical policies” in the purchase of certain real property in Greenville. Mr. Moore alleges that Mr. Joyner “used information he had gathered from his position as Chair of ECU BOT Economic Development Committee to purchase property . . . with knowledge only he had.” Mr. Moore does not allege that Mr. Joyner violated a specific provision of Section 200.7. Mr. Joyner responds that his purchase of the property did not violate either Section 200.7 or the State Ethics Act.

Pursuant to G.S. 138A, the State Ethics Commission may conduct inquiries into alleged violations of the State Ethics Act upon the written request of any public servant.

Assessment: Given the nature of Mr. Moore’s complaint against Mr. Joyner, it appears appropriate that this matter be referred to the State Ethics Commission for further inquiry pursuant to G.S. 138A. Accordingly, the chair of the Committee on University Governance is asked make a written request to the State Ethics Commission to conduct an inquiry into this matter.

Action: This item is for discussion only.

Robert Moore
1968 Cornerstone Drive
Winterville, NC 28590

January 20, 2020

VIA EMAIL

Governor David Powers
910 Raleigh Road
Chapel Hill, NC 27514

Dear Governor Powers:

I hope this finds you well. After thoughtful consideration and consulting with other East Carolina Board of Trustee Members I would like to ask for the UNC Board of Governors Committee on University Governance to consider a determination of whether a recommendation should be made to the full UNC Board of Governors on the removal of Max Joyner as an East Carolina Board of Trustee Member. I do not take this decision lightly as Trustee Joyner possibly has violated ethical policies in regards to the recent Economic Development project approved by the ECU BOT and well as the UNC BOG. It is the belief of myself and others on the ECU BOT that Trustee Joyner used information that he had gathered from his position as Chair of ECU BOT Economic Development Committee to purchase property located at 1211 S. Washington Street, Greenville, NC with knowledge that only he had. He made this purchase in August 2019 while ECU was working with Elliott Sidewalk on this project. The property located at 1211 S. Washington Street was purchased by Crones, LLC of which Trustee Joyner is a Manager. Trustee Joyner's purchase of this property which is only 185 feet from property that has been leased/sold to Elliott Sidewalk. Trustee Joyner did not disclose to the owner of 1211 S. Washington Street his inside knowledge of ECU negotiations with Elliot Sidewalk. This owner now has legal counsel that is looking at his options in possible litigation against ECU as it relates to this transaction. It is also my belief that some staff at ECU had some level of discomfort with Trustee Joyner working on this project while actively buying property in the area.

I and other BOT members will be happy to answer any questions that you have about this situation. I as well as other ECU BOT members regret that this action is necessary, but we believe this to be in the best interest of East Carolina University and the University of North Carolina System as well as the future of East Carolina University.

Yours,


Robert Moore, Member
East Carolina University Board of Trustees

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HUD-1, Page 3

Borrower(s): Grones, LLC
800 Davenport Drive
Greenville, NC 27858

Seller(s): Michael Keith Syron
430 Baywood Drive
Winterville, NC 28590

Molteighbrooke Properties, LLC
1645 E. Arlington Blvd., Suite E
Greenville, NC 27858

Lender: Mill Creek Funding Company, LLC
Settlement Agent: Colombo, Kitchin, Dunn, Bass & Porter, LLP
(252) 321-2020

Place of Settlement: 1008 E. Arlington Blvd.
Greenville, NC 27858

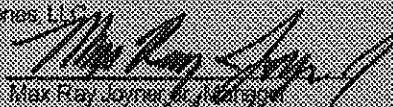
Settlement Date: August 1, 2018

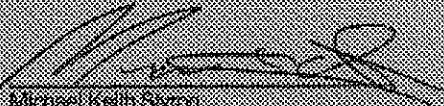
Property Location: 1211 S. Washington Street
Greenville, NC 27858
Pitt County, North Carolina

Seller Loan Payoff Details

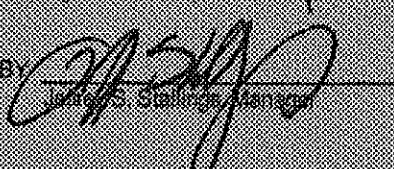
Payoff First Mortgage	to: PHH Mortgage Services		
Loan Payoff	51,671.01	As of 08/08/18	
Total Additional Interest		5 days @	Per Diem
Total Loan Payoff	<u>51,671.01</u>		

I have carefully reviewed the HUD-1 Settlement Statement and to the best of my knowledge and belief, it is a true and accurate statement of all receipts and disbursements made on my account or by me in this transaction. I further certify that I have received a copy of the HUD-1 Settlement Statement.

Grones, LLC
By: 
Max Ray Joyner, Jr., Manager


Michael Keith Syron

By: 
Roy Lee Fulcher, Jr., Manager

Molteighbrooke Properties, LLC
By: 
James S. Stallings, Manager

WARNING: It is a crime to knowingly make false statements to the United States on this or any similar form. Penalties upon conviction can include a fine and imprisonment. For details see: Title 18 U.S. Code Section 1001 and Section 1010.

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Doc ID: 014447250004 Type: CRP
 Recorded: 08/02/2019 at 10:09:11 AM
 Fee Amt: \$128.00 Page 1 of 4
 Revenue Tax: \$102.00
 Pitt County, NC
 Lisa P. Nichols REG OF DEEDS
 BK **3819** PG **657-660**

(Space Above for Recorder's Use)

**NORTH CAROLINA GENERAL WARRANTY DEED
 PITT COUNTY**

This instrument prepared by:
 Christian E. Porter, Attorney
 1698 E. Arlington Blvd., Greenville, NC 27858

EXCISE TAX: \$102.00
 Parcel Identifier No: 05206

The property herein conveyed does not include
 the primary residence of the Grantor.

File to: Colombo Kitchin Attorneys

THIS DEED made and entered into this 1st day of August, 2019, by and between

<u>GRANTOR</u>	<u>GRANTEE</u>
<p>MICHAEL KEITH STYRON, unmarried 430 Baywood Drive Winterville, NC 28590</p> <p>and</p> <p>CYNTHIA HUMPHREY STYRON, unmarried</p>	<p>CRONES, LLC a North Carolina limited liability company 800 Daventry Drive, Greenville, NC 27858 [a one-half (½) undivided interest]</p> <p>and</p> <p>MOLLEIGHBROOKE PROPERTIES, LLC a North Carolina limited liability company 1645 E. Arlington Blvd., Suite E, Greenville, NC 27858 [a one-half (½) undivided interest]</p>

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Deed
Page 2 of 4

The designation Grantor and Grantee as used herein shall include said parties, their heirs, successors, and assigns, and shall include singular, plural, masculine, feminine, or neuter, as required by context.

WITNESSETH:

That, Grantors for Ten Dollars (\$10.00) and other good and valuable consideration, have bargained and sold and by these presents do hereby bargain, sell, and convey a one-half (½) undivided interest unto CRONES, LLC, a North Carolina limited liability company, and a one-half (½) undivided interest unto MOLLEIGHBROOKE PROPERTIES, LLC, a North Carolina limited liability company, in that certain lot or parcel of land more particularly described as follows:

BEGINNING at a manhole located in the centerline of Washington Street and Twelfth Street, South 05-40-23 West 156.50 feet, the POINT OF BEGINNING, said Point of Beginning also being located South 15-02-22 West, 131.62 feet from the southern right of way of Twelfth Street; running thence from said POINT OF BEGINNING, along and with the property lines of Carlton E. Small (Deed Book 91, Page 608) and Daniel R. Roberson, (Deed Book 285, Page 834) South 74-50-00 East, 135.02 feet to a point, a common corner of properties now or formerly owned by William R. McConnell (Deed Book 309, Page 156); thence running along and with the line of William R. McConnell South 15-08-32 West, 45.09 feet to a point; thence running along and with the line of David A. Evans, Jr. (Deed Book 227, Page 105), North 74-49-43 West 135.05 feet to a point in the eastern right of way of Washington Street, thence running along and with the eastern right of way line of Washington Street, North 15-10-41 East, 45.08 feet to the point and place of beginning. Being the same property as shown on survey dated December 29, 1997, by Spruill & Associates, Inc., entitled "Survey for Mary L. Evans" to which reference is hereby made for a more complete and accurate description. The property described herein was acquired by Grantor by deed recorded in Book 1816, Page 369, Pitt County Registry.

TO HAVE AND TO HOLD a one-half (½) undivided interest unto CRONES, LLC, a North Carolina limited liability company, and a one-half (½) undivided interest unto MOLLEIGHBROOKE PROPERTIES, LLC, a North Carolina limited liability company, in the foregoing described lot or parcel of land and all privileges and appurtenances thereunto belonging or in anywise appertaining unto the said Grantees in fee simple forever.

And Grantors covenant to and with the Grantees that they are seized in fee simple in said premises and have the right to make this conveyance in fee simple; that the same is free and clear of all encumbrances whatsoever except for restrictive covenants, if any, of record in the Pitt County Registry, highway or road rights of way, any easements of record in the Pitt County Registry, any and all federal, state or local regulations

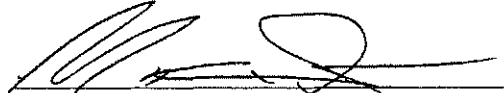
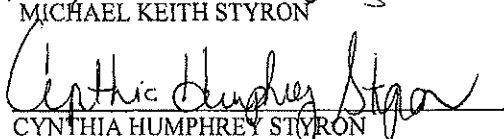
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Page 3 of 4

related to zoning, land use or development of the property; and ad valorem taxes subsequent to the year 2018; and that they will forever warrant and defend the title to the same against the lawful claims of all persons whomsoever.

The undersigned, CYNTHIA HUMPHREY STYRON, joins in this conveyance for the purpose of ratifying and agreeing that MICHAEL KEITH STYRON can convey the real property described herein, or any interest therein, without her consent or joinder, and that she hereby waives any and all rights which she may have against the property described herein as a result of their previous marriage, including any rights arising under N.C. Gen. Stat. §§28A, 29, and 30, and including the right to any elective share or allowance.

IN TESTIMONY WHEREOF, the said Grantors have hereunto duly executed this instrument this the day and year first above written.


MICHAEL KEITH STYRON

CYNTHIA HUMPHREY STYRON

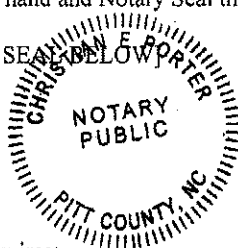
STATE OF NORTH CAROLINA

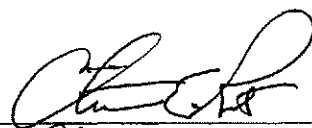
COUNTY OF PITT

I certify that the following person(s) personally appeared before me this day, each acknowledging to me that he or she voluntarily signed the foregoing document for the purpose stated therein and in the capacity indicated: MICHAEL KEITH STYRON.

Witness my hand and Notary Seal this the 1st day of August, 2019.

[AFFIX NOTARY SEAL BELOW]



Notary's Signature: 

Notary Print Name: Christian E. Porter

Notary Public

My Commission Expires:

2/6/2023

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Page 4 of 4

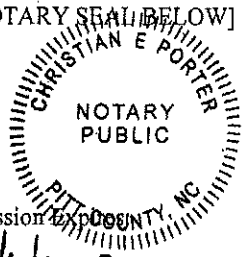
STATE OF NORTH CAROLINA

COUNTY OF PITT

I certify that the following person(s) personally appeared before me this day, each acknowledging to me that he or she voluntarily signed the foregoing document for the purpose stated therein and in the capacity indicated: CYNTHIA HUMPHREY STYRON.

Witness my hand and Notary Seal this the 1st day of August, 2019.

[AFFIX NOTARY SEAL BELOW]



Notary's Signature: _____

Notary Print Name: _____

Christian E. Porter

Notary Public

MAX JOYNER, JR.
105 E. Arlington Boulevard
Greenville, NC 27858

February 24, 2020

Mr. David M. Powers
The University of NC System
Board of Governors
P.O. Box 2688
Chapel Hill, NC 27515

Via: First Class Mail and Email to
dpowers@bog.northcarolina.edu

RE: Response to Notice of Complaint by Robert Moore (Complainant); Max Joyner, Jr. (Respondent), Response due by February 24, 2020

Dear Mr. Powers:

I respond to the Complaint ("Complaint") by Robert Moore dated January 20, 2020. This response is further to the Notice of Complaint ("Notice of Complaint") dated January 22, 2020 and to your letter of January 29, 2020, providing an extension of time to respond to the Notice of Complaint, up to and including February 24, 2020.

I understand that the University of North Carolina Board of Governors has received a Complaint submitted by Robert Moore ("Mr. Moore") requesting my removal, or the recommendation of my removal, from the East Carolina University Board of Trustees ("Board of Trustees"). I further understand that consideration of removal from Boards of Trustees are governed by UNC Board of Governor's Policy 200.7 ("Policy 200.7"), a copy of which was provided to me, along with a copy of the Complaint. Finally, I understand that such matters are considered by the Committee of University Governance (the "Governance Committee").

Since your letter of January 29, 2020, I understand that Mr. Moore was first censured and later resigned from the Board of Trustees. I further understand that despite your request that Mr. Moore submit to your attention, by Friday, February 14, 2020, Supplemental Information setting forth each specific provision of Policy 200.7 that Mr. Moore alleges I have violated, and the manner in which he believes those provisions have been violated, that Mr. Moore failed to provide any Supplemental Information as requested. I further understand that Mr. Moore has failed to assert that my conduct specified in his Complaint violated any provisions of the State Ethics Act (N.C. Gen. Stat. §138A) or any other provisions of law or UNC System Policy. Finally, I understand that Mr. Moore failed to provide any relevant supporting documentation or materials that might support such an assertion.

Accordingly, I understand that the basis for Mr. Moore's request for my removal, or the recommendation for my removal, from the Board of Trustees is based solely upon his January 20, 2020 letter, which was attached to the Notice of Complaint.

My understanding from Mr. Moore's January 20, 2020 letter is that the basis of the Complaint is as follows:

1. That I used the information gathered by me from my position as Chair of the Board of Trustees' Economic Development Committee to purchase the property ("the Purchase") located at 1211 South Washington Street, Greenville, North Carolina ("the Property"). (Mr. Moore's letter states that the Property was purchased by "me" which, as shown below, is legally and factually incorrect).

2. That the information used by me to make the Purchase of the Property was from knowledge that only I had.

3. That the Purchase of the Property occurred while East Carolina University was working with Elliott Sidewalk "on this project" (Mr. Moore's letter is not clear as to what he means by "on this project").

4. That the Property was purchased by Crones, LLC and that I am a manager of that LLC.

5. That the Property was only 185 feet away from property that has been leased/sold to Elliott Sidewalk, LLC.

6. That I did not disclose to the Seller of the Property my inside knowledge of East Carolina University's negotiations with Elliott Sidewalk, LLC.

7. That the Seller of the Property now has legal counsel who is looking at his options and possible litigation against East Carolina University as it relates to this transaction.

8. That staff at East Carolina University had some level of discomfort with my working "on this project" while actively buying property in the area.

In response to the allegations made by Mr. Moore, I state the following:

1. The Complaint is premised solely on his unfounded supposition that I "possibly violated ethical policies" in the Purchase of the Property with alleged knowledge unique to me. In addition, Mr. Moore apparently contends, without support or explanation, that it was problematic that I did not disclose my purported "inside knowledge of ECU negotiations with Elliott Sidewalk" to the Seller. Mr.

Moore does not specify or identify what, specifically, this information was, who provided it to me, or when I received it.

2. I was not aware of Elliott Sidewalk's agreement to develop the Warehouse District Project on the date of the Purchase of the Property. This information was provided to me by Dr. Sarah Thorndike, Vice Chancellor of Administration and Finance, on November 12, 2019, some three months after the Purchase of the Property. (See letter from Sara Thorndike attached hereto as Exhibit A).

3. Dr. Thorndike states further in her letter, that a Request for Qualifications for the Warehouse District Project was issued to the public on or around August 1, 2016. A Request for Proposals, Dr. Thorndike continues, was issued to the public on November 30, 2018. Finally, on November 7, 2019, East Carolina University signed a Memorandum of Understanding with Elliott Sidewalk, LLC. This Memorandum of Understanding was obviously entered into after the Purchase of the Property.

4. I own a fifty percent (50%) membership interest in Crones, LLC. Crones, LLC and Molleighbrooke Properties, LLC purchased the Property in August, 2019 for \$51,000.00. I do not own any interest in Molleighbrooke Properties, LLC.

5. Jon Day, owner of Jon Day & Associates, Inc., a commercial real estate firm in Greenville, very recently reviewed market data and determined that the price paid for the Property was in line with or above nearby comparable sales. It is his opinion that the price paid for this Property was at or near fair market value. (See market data attached hereto as Exhibit B). The price paid for the Property was also at or near tax value. (See ad valorem tax listing attached hereto as Exhibit C).

6. The Purchase of the Property by Crones, LLC was not influenced by the Elliott Sidewalk project. Instead, the Purchase was the continuation of a decade's long pattern of personal investment by me in real estate in this part of Greenville. I have been investing in real property in this area of Greenville since 1982. Limited liability companies in which I own a membership interest currently own a portion of 54 parcels near the Property. (See area map attached hereto as Exhibit D).

7. I did not violate Policy 200.7 entitled "Duties, Responsibilities and Expectations of Board Members". The stated aim of the relevant portions of Policy 200.7, Paragraph III.C.4. and 5., is to "avoid any personal or business interest that may conflict with the member's responsibilities to the institution or university-affiliated organization" and to "avoid any personal or business interest that may conflict with the member's responsibilities to the institution or University-affiliated

organization. (See Policy 200.7 attached hereto as Exhibit E). This policy might preclude Board of Trustee Members from voting for a project that would directly benefit them, or from purchasing property with knowledge that East Carolina University intends to buy it, however, that is not the case here. Based on discussions with East Carolina University personnel, it is my sincere belief and understanding that East Carolina University has no desire to purchase the Property. My business interest in this (or any other property) does not, in any way, compete with East Carolina University's interest in investing and making improvements in this neighborhood. To the contrary, it furthers them. There is simply no requirement that a real estate professional in Greenville, or any other businessperson for that matter, stop conducting business in Greenville, North Carolina after they become a Board of Trustees member.

8. I am not aware of any duty as a member of the Board of Trustees, that requires that Board member to inform a potential seller of the information described in Mr. Moore's Complaint. Instead Policy 200.7 requires that a member of the Board of Trustees must bring "matters of concern, potential and real conflicts of interest, and reports of unlawful and/or noncompliant activity to the attention of the appropriate institutional or organizational officer, such as the President, Chancellor, Board Chair or Committee Chair".

9. It is worthy of note that East Carolina University and the City of Greenville have discussed plans to develop the Warehouse District since 2015. The discussions and plans arising from those discussions have been widely published in local media. (See News Articles attached hereto as Exhibit F, and letter from Sara Thorndike attached hereto as Exhibit A).

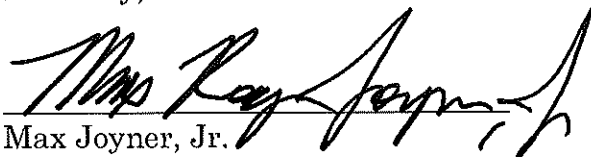
10. In compliance with Policy 200.7, ownership of real property by limited liability companies in which I have a membership interest, and which own real property in this area, have been discussed by me, with Members of the Board of Trustees and East Carolina University staff. In all of those discussions, no one ever expressed concerns to me, including Mr. Moore. I also submitted my Statement of Economic Interest in 2019, which was reviewed and approved by the State Ethics Commission. (See Statement of Economic Interest documents attached hereto as Exhibit G).

The Complaint is wholly unsupported by any facts or objective evidence and, unfortunately, is a clear attempt to distract from Mr. Moore's own conduct as a member of the Board of Trustees and ultimate ouster. Tellingly, Mr. Moore was provided the opportunity by your office to submit additional information to support his Complaint, but Mr. Moore failed to do so. It is also my understanding that Mr. Moore filed Complaints on the same date against two other Board Members for unrelated matters, which Complaints have since been dismissed. Mr. Moore has failed to articulate how the Purchase of the Property violated any UNC System

Policy or the State Ethics Act. Mr. Moore's unfounded supposition and unfortunate attempt to mire others in his scandal should be disregarded and dismissed.

I thank you for your attention and consideration in this matter.

Sincerely,

A handwritten signature in black ink, appearing to read "Max Joyner, Jr.", written over a horizontal line.

Max Joyner, Jr.

cc: Mr. Robert Moore
Mr. John M. Martin
Ms. Karin Scypszak
Mr. Oliver Wheeler
Mr. John P. Marshall

Mr. Robert Moore
1968 Cornerstone Drive
Winterville, NC 28590

Mr. John M. Martin at jmm@wardandsmith.com
Ms. Karin Scypszak at karins@northcarolina.edu

JPM/dfj #15/124680-00001

EXHIBIT A



February 21, 2020

To Whom it May Concern:

My name is Sara Thorndike. I have served as East Carolina University's Vice Chancellor for Administration and Finance since March 30, 2018. In this role, I have participated in the planning for development of part of ECU's Millennial Campus located in Greenville's Warehouse District. With regard to my participation in this project I share the following:

1. That I am aware that on or before March 2015 ECU announced plans to rehabilitate a seven-block area in Greenville's Warehouse District as part of ECU's Millennial Campus, including the Export Tobacco Leaf factory known as the Haynie Building. This was described in a press release issued by the University in 2015 and in other media, including ECU's student newspaper, the East Carolinian. This press release is available at: <https://www.ecu.edu/news/millennialcampus.cfm>.

2. That I am aware that, in connection with these plans, ECU issued a Request for Qualifications for the Warehouse District Project on or around August 1, 2016.

3. That East Carolina University issued a Request for Proposals for the Warehouse District Project on or around November 30, 2018.

4. That I participated in meetings and discussions about the Warehouse District Project, generally, and without reference to any particular developer or partner, including Elliot Sidewalk Communities LLC, with members of the ECU Board of Trustees Ad Hoc Committee on Economic Development, including Max Joyner and Phil Lewis, and the Assistant Secretary to the Board of Trustees, between August 20, 2019 and October 24, 2019. Robert Moore also participated in certain of these meetings and discussions.

5. That, in furtherance of the Warehouse District Project, on November 7, 2019, East Carolina University signed a Memorandum of Understanding with Elliott Sidewalk Communities, LLC, to develop the Warehouse District Project. Elliot Sidewalk Communities, LLC, responded to the Request for Proposals issued on or around November 30, 2018 and was selected by the University's administration as the developer for this project.

6. That on November 12, 2019, I met with the Economic Development Committee Chair, Max Joyner, and, for the first time with a member of the ECU Board of Trustees, shared specifics related to the agreement with Elliot Sidewalk Communities, LLC, in preparation for the upcoming meeting of the Ad Hoc Committee on November 21, 2019.

7. That on November 15, 2019 I participated in a second meeting with Max Joyner concerning the agenda for the Ad Hoc Committee meeting on November 21, 2019, including participation in the meeting by representatives of Elliot Sidewalk Communities, LLC, and the developer for another unrelated project.

8. That on December 10-11, 2019, I participated in meetings with certain then-current Board of Trustee Members and ECU administrators concerning the Warehouse District Project.

9. That on December 13, 2019 the ECU Board of Trustees approved two related transactions with Elliot Sidewalk Communities, LLC, for the Warehouse District Project, contingent upon approval of the UNC Board of Governors and applicable bodies of the State of North Carolina with jurisdiction and authority.

10. That I am aware that Max Joyner is an active real estate developer who owns various properties in and around Greenville, N.C.

Sincerely,

A handwritten signature in black ink, appearing to read "Sara Thorndike". The signature is fluid and cursive, with the first name "Sara" and last name "Thorndike" clearly distinguishable.

Dr. Sara Thorndike, MBA, CPA, EdD

EXHIBIT B

Jon Day & Associates, Inc.
Commercial Realtors

jonday1@embarqmail.com

Phone (252) 756-1119

Fax (252) 756-2427

P.O. Box 507

Greenville, NC 27858-0507

Conclusion

There are about 75 parcels of land, some with old homes on it in the blocks between 12th Street, Washington Street, and Clark Street. Most of the houses are 75 years to 80 years old, in poor condition and near the end of their useful economic life. Some homes are maintained in livable condition and rented. Investors are buying some of these properties and fixing them up.

The properties purchased by Glenn Arthur, LLC and Crones, LLC sold for the following per unit price:

- | | |
|-----------------------------------|--------------------------|
| 1. 1211 S. Washington Street | \$33.82/SF Building Area |
| 2. 110 W. 13 th Street | \$65.96/SF Building Area |
| 3. 1213 S. Washington Street | \$1.62/SF Land Area |
| 4. 1225 S. Washington Street | \$1.82/SF Land Area |

The comparable sales studies were as follows:

- | | |
|-------------------------------------|--------------------------|
| 1. 120 W. 12 th Street | \$39.17/SF Building Area |
| 2. 1204 S Washington Street | \$34.37/SF Building Area |
| 3. 1206 & 1208 S. Washington Street | \$27.48/SF Building Area |
| 4. 201 W. 12 th Street | \$24.86/SF Building Area |
| 5. 207 W. 12 th Street | \$28.41/SF Building Area |

It appears that the price paid by Glenn Arthur, LLC and Crones, LLC are in line with or above the comparable sales. In my opinion these are normal purchases price for these properties.

Jon Day & Associates, Inc.
Commercial Realtors

jonday1@embarqmail.com

Phone (252) 756-1119

Fax (252) 756-2427

P.O. Box 507

Greenville, NC 27858-0507

Comparable Sales

1. 120 W. 12th Street Price: \$52,000.00 Size Land: 3,049 SF
Price/SF Building: \$39.17/SF Date: 07/2012
Building Size: 1,302 SF
Description: Multifamily triplex in fair condition. Bought by investor for rental property. House was 82 years old.
Buyer: Jill Andrews
2. 1204 S. Washington Street Price: \$42,000.00 Size Land: 8,712 SF
Price/SF Building: \$34.37/SF Date: 10/2019
Building Size: 1,222 SF
Description: One story single family home, 79 years old in fair condition.
Buyer: Rover Investments, LLC
3. 1206 & 1208 S. Washington Street Price: \$75,000.00 Size Land: 10,890 SF
Price/SF Building: \$27.48/SF Date: 11/2019
Description: Two dwellings, 700 SF and 2,029 SF = 2,729 SF, Fair condition
Buyer: Rover Investments, LLC
4. 201 W. 12th Street Price: \$26,500.00 Size Land: 6,534 SF
Price/SF Building: \$24.86/SF Date: 0/2015
Description: One story frame dwelling, 1,066 SF, 75 years old in fair condition.
Buyer: Rover Investments, LLC
5. 207 W. 12th Street Price: \$35,000.00 Size Land: 7,405 SF
Price/SF Building: \$28.41/SF Date: 05/2015
Description: One story frame dwelling, 75 years old in fair condition.
Buyer: Rover Investments, LLC

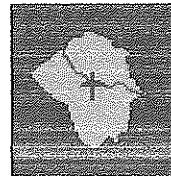


Pitt County Government

Greenville, North Carolina



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Sole

121



Parcel: 07003
More Info: 07003
Physical Address: 120 W TWELFTH ST
Owner Name: ANDREWS JILL M
OwnerAddress1: 120 W TWELFTH ST
OwnerAddress2:
OwnerAddress3:
City / State / Zip: GREENVILLE NC 27834
NC PIN: 4687287607
Subdivision / Section / Phase:
Prior Legal Description: RES 120 W 12TH & WASH
Block / Lot:
Tract:
Building Number / Unit:
Acres: 0.07
Current Owner Deed/Document: 002990 00759
Map Book: -
Deed / Document Date: 07/2012
Deed / Document Sales Price: \$52,000
Building Type / Use: MFR CONST(DUPLEX/TRIPLEX)
Number of Buildings: 1
Year Built: 1930
Total Living Area: 1302
Building Value: \$31,974
Extra Features Value: \$0
Land Value: \$30,492
Total Current Market Value: \$62,466
Total 2019 Market Value: \$33,105
Municipality: GREENVILLE
Township: GREENVILLE
Fire Service District: INSIDE MUNICIPALITY
Census Tract: 100
Neighborhood: 004030
Elementary School: Elmhurst ES
Middle School: C M Eppes MS
High School: J H Rose HS

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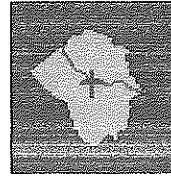


Pitt County Government

Greenville, North Carolina



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22
Sale.



Parcel: 03736
More Info: 03736
Physical Address: 1204 S WASHINGTON ST
Owner Name: ROVER INVESTMENTS LLC
OwnerAddress1: PO BOX 39
OwnerAddress2:
OwnerAddress3:
City / State / Zip: GREENVILLE NC 27835
NC PIN: 4687285447
Subdivision / Section / Phase:
Prior Legal Description: SOUTH EVANS REDEVELOPMENT
Block / Lot: 5
Tract:
Building Number / Unit:
Acres: 0.20
Current Owner Deed/Document: 003841 00760
Map Book: 31-155
Deed / Document Date: 10/2019
Deed / Document Sales Price: \$42,000
Building Type / Use: SFR CONST(SFR)
Number of Buildings: 1
Year Built: 1940
Total Living Area: 1222
Building Value: \$35,926
Extra Features Value: \$190
Land Value: \$9,600
Total Current Market Value: \$45,716
Total 2019 Market Value: \$47,102
Municipality: GREENVILLE
Township: GREENVILLE
Fire Service District: INSIDE MUNICIPALITY
Census Tract: 100
Neighborhood: 004148
Elementary School: Elmhurst ES
Middle School: C M Eppes MS
High School: J H Rose HS

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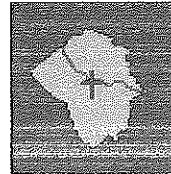


Pitt County Government

Greenville, North Carolina



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SA63



Parcel: 13450
More Info: 13450
Physical Address: 1208 S WASHINGTON ST
Owner Name: ROVER INVESTMENTS LLC
OwnerAddress1: PO BOX 39
OwnerAddress2:
OwnerAddress3:
City / State / Zip: GREENVILLE NC 27835
NC PIN: 4687285339
Subdivision / Section / Phase:
Prior Legal Description: 1206-1208 WASHNGTN
Block / Lot: PT93
Tract:
Building Number / Unit:
Acres: 0.25
Current Owner Deed/Document: 003852 00604
Map Book: -
Deed / Document Date: 11/2019
Deed / Document Sales Price: \$75,000
Building Type / Use: SFR CONST(SFR)
Number of Buildings: 2
Year Built: 1957
Total Living Area: 700
Building Value: \$57,270
Extra Features Value: \$0
Land Value: \$11,250
Total Current Market Value: \$68,520
Total 2019 Market Value: \$71,865
Municipality: GREENVILLE
Township: GREENVILLE
Fire Service District: INSIDE MUNICIPALITY
Census Tract: 100
Neighborhood: 004148
Elementary School: Elmhurst ES
Middle School: C M Eppes MS
High School: J H Rose HS

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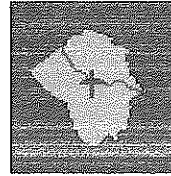


Pitt County Government

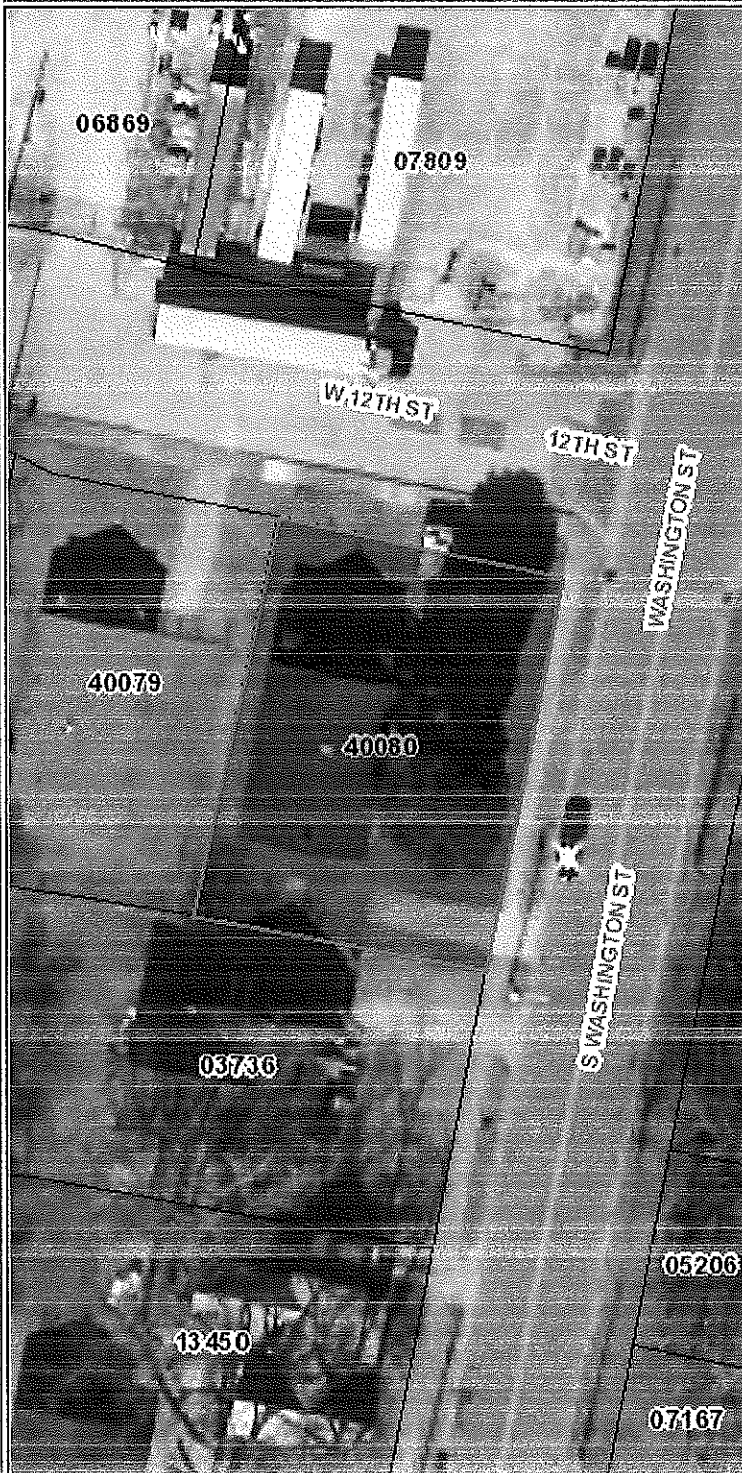
Greenville, North Carolina



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Sale.
34



Parcel: 40080
More Info: 40080
Physical Address: 201 W TWELFTH ST
Owner Name: ROVER INVESTMENTS LLC
OwnerAddress1: PO BOX 39
OwnerAddress2:
OwnerAddress3:
City / State / Zip: GREENVILLE NC 27835
NC PIN: 4687285574
Subdivision / Section / Phase:
Prior Legal Description: TWELFTH & WASHINGTON
Block / Lot:
Tract:
Building Number / Unit:
Acres: 0.15
Current Owner Deed/Document: 003368 00568
Map Book: 31-155
Deed / Document Date: 09/2015
Deed / Document Sales Price: \$26,500
Building Type / Use: SFR CONST(SFR)
Number of Buildings: 1
Year Built: 1940
Total Living Area: 1066
Building Value: \$37,533
Extra Features Value: \$0
Land Value: \$9,000
Total Current Market Value: \$46,533
Total 2019 Market Value: \$41,737
Municipality: GREENVILLE
Township: GREENVILLE
Fire Service District: INSIDE MUNICIPALITY
Census Tract: 100
Neighborhood: 004148
Elementary School: Elmhurst ES
Middle School: C M Eppes MS
High School: J H Rose HS

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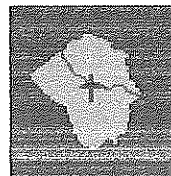


Pitt County Government

Greenville, North Carolina



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Sal
5.



Parcel: 40077
More Info: 40077
Physical Address: 207 W TWELFTH ST
Owner Name: ROVER INVESTMENTS LLC
OwnerAddress1: PO BOX 39
OwnerAddress2:
OwnerAddress3:
City / State / Zip: GREENVILLE NC 27835
NC PIN: 4687283579
Subdivision / Section / Phase:
Prior Legal Description: TWELFTH & GREENE STS
Block / Lot:
Tract:
Building Number / Unit:
Acres: 0.17
Current Owner Deed/Document: 003323 00813
Map Book: MB31-155
Deed / Document Date: 05/2015
Deed / Document Sales Price: \$35,000
Building Type / Use: SFR CONST(SFR)
Number of Buildings: 1
Year Built: 1940
Total Living Area: 1232
Building Value: \$44,321
Extra Features Value: \$0
Land Value: \$10,200
Total Current Market Value: \$54,521
Total 2019 Market Value: \$49,449
Municipality: GREENVILLE
Township: GREENVILLE
Fire Service District: INSIDE MUNICIPALITY
Census Tract: 100
Neighborhood: 004148
Elementary School: Elmhurst ES
Middle School: C M Eppes MS
High School: J H Rose HS

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Jon Day & Associates, Inc.

Commercial Realtors

jonday1@embarqmail.com

Phone (252) 756-1119

Fax (252) 756-2427

P.O. Box 507

Greenville, NC 27858-0507

Properties Purchased

1. 1225 S. Washington Street Price: \$12,000.00 Size: 6,534 SF
Price/SF Land: \$1.84/SF Date: 12/2013
Description: Vacant parcel of land, corner of 13th Street and Washington Street
Buyer: Glenn Arthur, LLC
2. 1213 S. Washington Street Price: \$19,000.00 Size: 11,761 SF
Price/SF Land: \$1.62/SF Date: 02/2015
Description: Dilapidated triplex in poor condition, buyer paid \$13,000 for the property and \$6,000 to demolish the building.
Buyer: Glenn Arthur, LLC
3. 1211 S. Washington Street Price: \$51,000.00 Size: 6,534 SF
Price/SF Land & Building: Date: 08/2019
Price/SF Building: 33.82/SF
Description: Rectangular lot with 1,508 SF house that is 90 years old. House has possible real estate value.
Buyer: Crones, LLC / *Molleigh Brooke, LLC*
4. 110 W. 13th Street Price: \$75,000.00 Size: 2,614 SF
Price/SF: \$65.96/SF Date: 01/2016
Description: Rectangular lot with 1,137 SF home on it. Home was 90 years old. Home had been remodeled by seller.
Buyer: Glenn Arthur, LLC

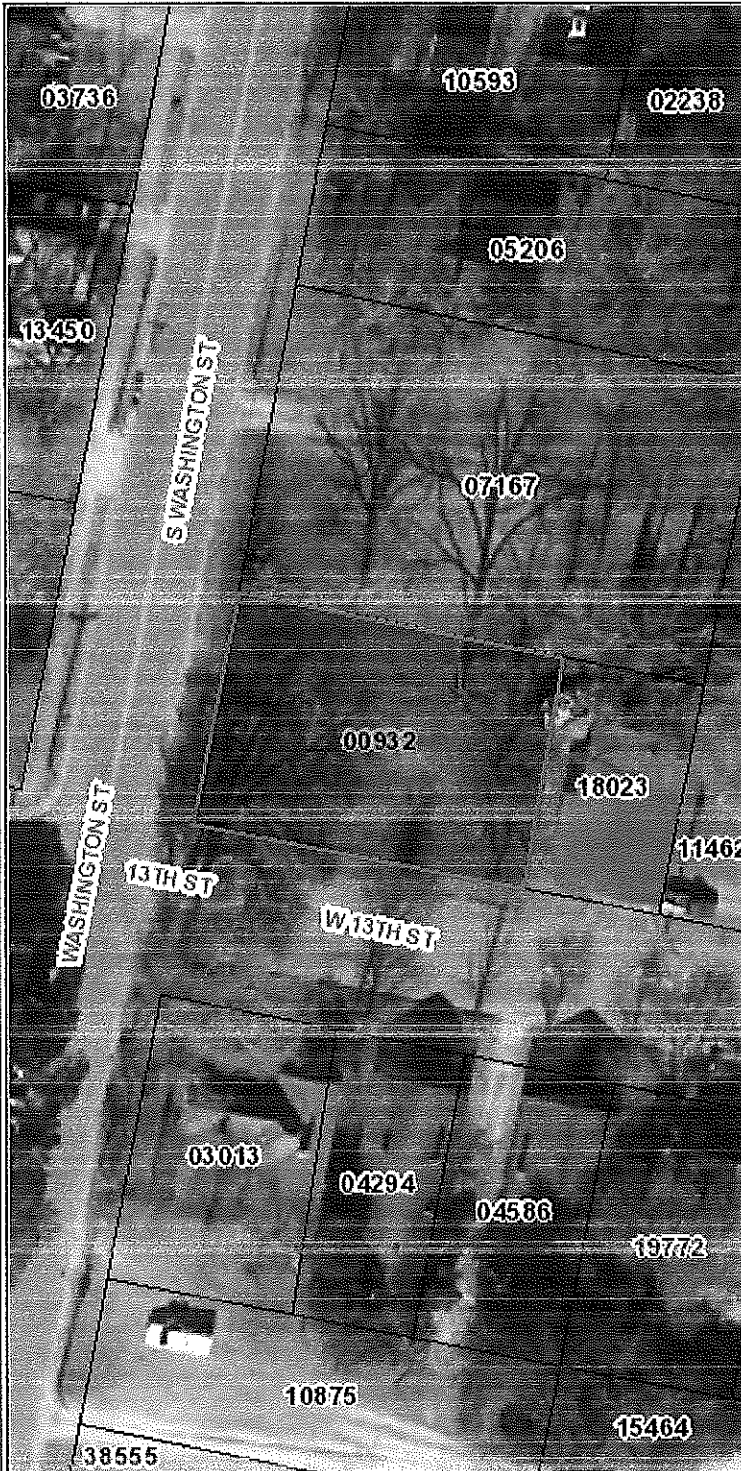
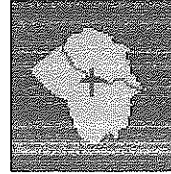


Pitt County Government

Greenville, North Carolina



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Parcel: 00932
More Info: 00932
Physical Address: 1225 S WASHINGTON ST
Owner Name: GLENN ARTHUR LLC
OwnerAddress1: PO BOX 8591
OwnerAddress2:
OwnerAddress3:
City / State / Zip: GREENVILLE NC 27858
NC PIN: 4687286258
Subdivision / Section / Phase:
Prior Legal Description: RES. 1225 WASH.
Block / Lot:
Tract:
Building Number / Unit:
Acres: 0.15
Current Owner Deed/Document: 003177 00741
Map Book: -
Deed / Document Date: 12/2013
Deed / Document Sales Price: \$12,000
Building Type / Use:
Number of Buildings: 0
Year Built:
Total Living Area:
Building Value:
Extra Features Value: \$0
Land Value: \$7,500
Total Current Market Value: \$7,500
Total 2015 Market Value: \$7,500
Municipality: GREENVILLE
Township: GREENVILLE
Fire Service District: INSIDE MUNICIPALITY
Census Tract: 100
Neighborhood: 004148
Elementary School: Elmhurst ES
Middle School: C M Eppes MS
High School: J H Rose HS

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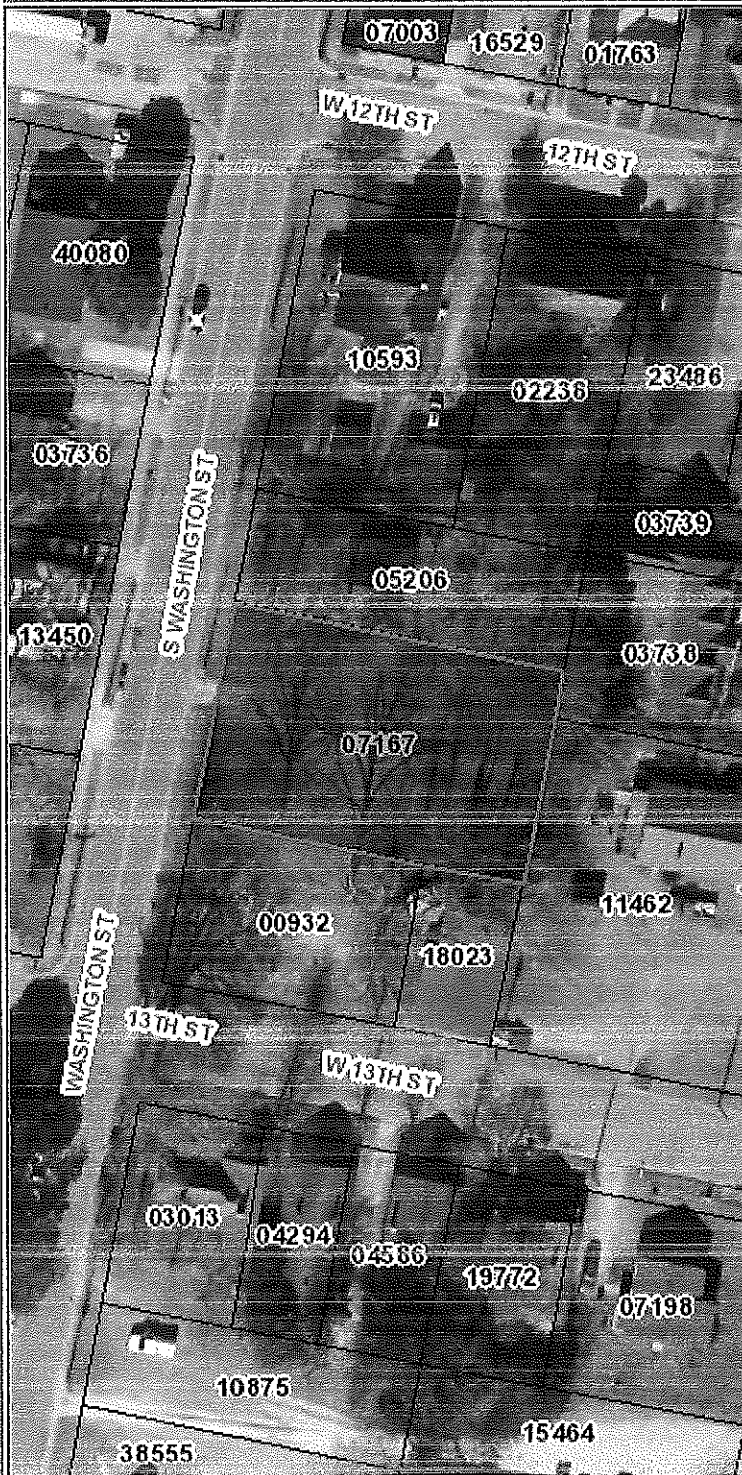
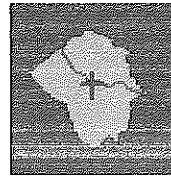


Pitt County Government

Greenville, North Carolina



www.pittcountync.gov



Parcel: 07167
 More Info: 07167
 Physical Address: 1213 S WASHINGTON ST
 Owner Name: GLENN ARTHUR LLC
 OwnerAddress1: 800 DAVENTRY RD
 OwnerAddress2:
 OwnerAddress3:
 City / State / Zip: GREENVILLE NC 27858
 NC PIN: 4687286395
 Subdivision / Section / Phase:
 Prior Legal Description: 1215,1219 WASHINGTON ST.
 Block / Lot:
 Tract:
 Building Number / Unit:
 Acres: 0.27
 Current Owner
 Deed/Document: 003296 00131
 Map Book:
 Deed / Document Date: 02/2015
 Deed / Document Sales Price: \$0 - 13,000.
 Building Type / Use: RESIDENTIAL(Single Family Residential)
 Number of Buildings: 0
 Year Built:
 Total Living Area:
 Building Value:
 Extra Features Value: \$0
 Land Value: \$13,500
 Total Current Market Value: \$13,500
 Total 2015 Market Value: \$13,500
 Municipality: GREENVILLE
 Township: GREENVILLE
 Fire Service District: INSIDE MUNICIPALITY
 Census Tract: 100
 Neighborhood: 004148
 Elementary School: Elmhurst ES
 Middle School: C M Eppes MS
 High School: J H Rose HS

Price
 Date
 Volume
 Land
 Tsiglex
 16,000
 few down

Disclaimer: This tax record is prepared for the inventory of real property within Pitt County and is compiled from recorded deeds, plats, tax maps, surveys, and other public records. Users of this data are hereby notified that the aforementioned public primary information sources should be consulted for verification. Pitt County assumes no legal responsibility for the information contained herein.

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? Sale
Acres St.

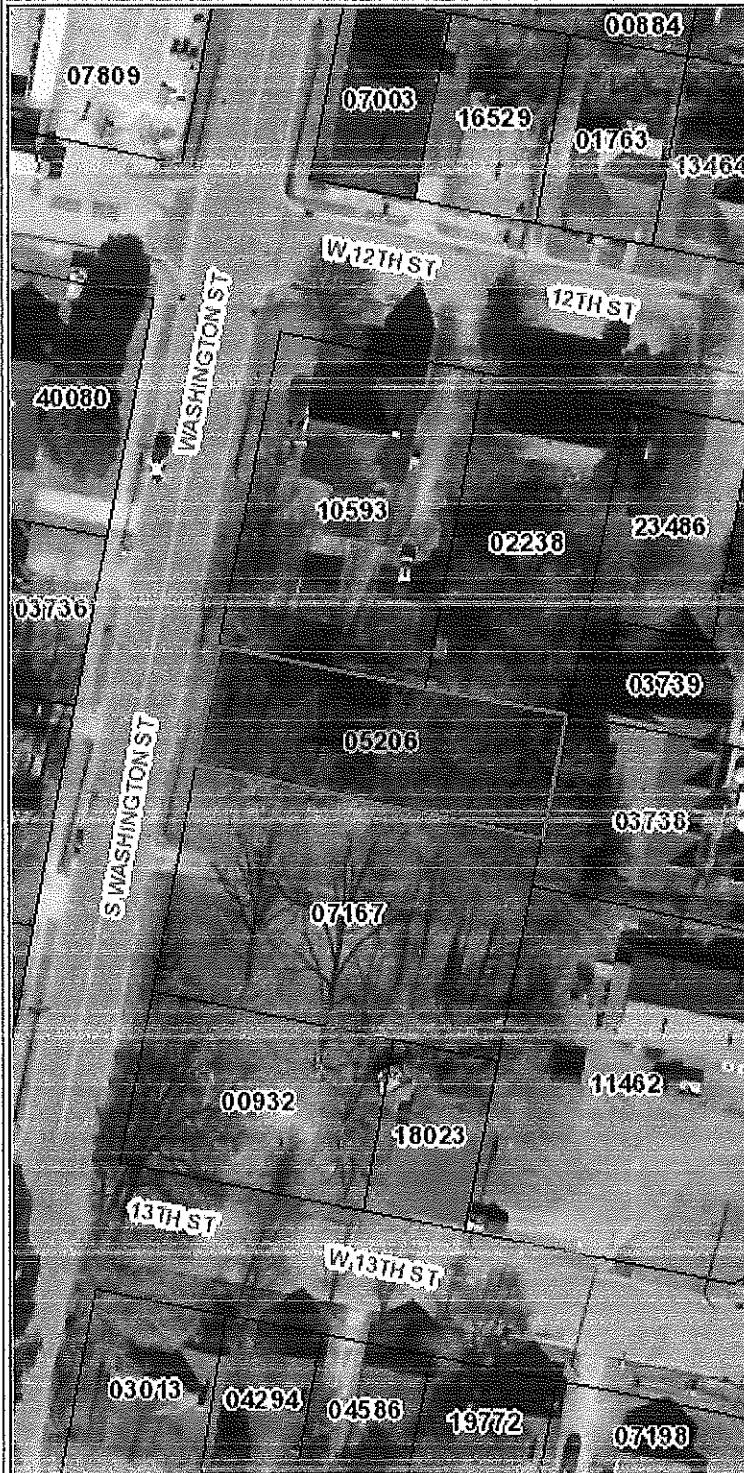
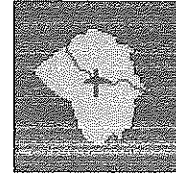


Pitt County Government

Greenville, North Carolina



www.pittcountync.gov



Parcel: 05206
 More Info: 05206
 Physical Address: 1211 S WASHINGTON ST
 Owner Name: CRONES LLC
 Owner Address1: MOLLEIGHBROOKE PROPERTIES LLC
 Owner Address2: 1645 E ARLINGTON BLVD STE E
 Owner Address3:
 City / State / Zip: GREENVILLE NC 27858
 NC PIN: 4687287402
 Subdivision / Section / Phase:
 Prior Legal Description: RES. 1211 WASHINGTON
 Block / Lot:
 Tract:
 Building Number / Unit:
 Acres: 0.15
 Current Owner Deed/Document: 003819 00657
 Map Book: -
 Deed / Document Date: 08/2019
 Deed / Document Sales Price: \$51,000 -
 Building Type / Use: SFR CONST(SFR)
 Number of Buildings: 1
 Year Built: 1930
 Total Living Area: 1508
 Building Value: \$45,726
 Extra Features Value: \$0
 Land Value: \$7,500
 Total Current Market Value: \$53,226
 Total 2015 Market Value: \$55,443
 Municipality: GREENVILLE
 Township: GREENVILLE
 Fire Service District: INSIDE MUNICIPALITY
 Census Tract: 100
 Neighborhood: 004148
 Elementary School: Elmhurst ES
 Middle School: C M Eppes MS
 High School: J H Rose HS

Confirm
cond. of
house

Tear Down
3000 sq ft
8-10
Tear Down

Disclaimer: This tax record is prepared for the inventory of real property within Pitt County and is compiled from recorded deeds, plats, tax maps, surveys, and other public records. Users of this data are hereby notified that the aforementioned public primary information sources should be consulted for verification. Pitt County assumes no legal responsibility for the information contained herein.

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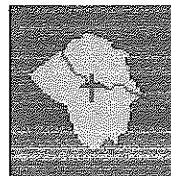


Pitt County Government

Greenville, North Carolina



www.pittcountync.gov



Parcel: 18023
More Info: 18023
Physical Address: 110 W THIRTEENTH ST
Owner Name: GLENN ARTHUR LLC
OwnerAddress1: 800 DAVENTRY DR
OwnerAddress2:
OwnerAddress3:
City / State / Zip: GREENVILLE NC 27858
NC PIN: 4687287226
Subdivision / Section / Phase:
Prior Legal Description: RES 110 W 13TH STREET
Block / Lot:
Tract:
Building Number / Unit:
Acres: 0.06
Current Owner Deed/Document: 003397 00790
Map Book:
Deed / Document Date: 01/2016
Deed / Document Sales Price: \$70,000
Building Type / Use: SFR CONST(SFR)
Number of Buildings: 1
Year Built: 1930
Total Living Area: 1137
Building Value: \$43,164
Extra Features Value:
Land Value: \$3,000
Total Current Market Value: \$46,164
Total 2015 Market Value: \$15,087
Municipality: GREENVILLE
Township: GREENVILLE
Fire Service District: INSIDE MUNICIPALITY
Census Tract: 100
Neighborhood: 004148
Elementary School: Elmhurst ES
Middle School: C M Eppes MS
High School: J H Rose HS

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EXHIBIT C



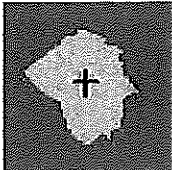

<div><div>Pitt County Government Greenville, North Carolina www.pittcountync.gov</div><div></div></div>	<div></div>																																																																										
	<table><tr><td>Parcel:</td><td>05206</td></tr><tr><td>More Info:</td><td>05206</td></tr><tr><td>Physical Address:</td><td>1211 S WASHINGTON ST</td></tr><tr><td>Owner Name:</td><td>CRONES LLC</td></tr><tr><td>OwnerAddress1:</td><td>MOLLEIGHBROOKE PROPERTIES LLC</td></tr><tr><td>OwnerAddress2:</td><td>1645 E ARLINGTON BLVD STE E</td></tr><tr><td>OwnerAddress3:</td><td></td></tr><tr><td>City / State / Zip:</td><td>GREENVILLE NC 27858</td></tr><tr><td>NC PIN:</td><td>4687287402</td></tr><tr><td>Subdivision / Section / Phase:</td><td></td></tr><tr><td>Prior Legal Description:</td><td>RES. 1211 WASHINGTON</td></tr><tr><td>Block / Lot:</td><td></td></tr><tr><td>Tract:</td><td></td></tr><tr><td>Building Number / Unit:</td><td></td></tr><tr><td>Acres:</td><td>0.15</td></tr><tr><td>Current Owner</td><td></td></tr><tr><td>Deed/Document:</td><td>003819 00657</td></tr><tr><td>Map Book:</td><td>-</td></tr><tr><td>Deed / Document Date:</td><td>08/2019</td></tr><tr><td>Deed / Document Sales Price:</td><td>\$51,000</td></tr><tr><td>Building Type / Use:</td><td>SFR CONST(SFR)</td></tr><tr><td>Number of Buildings:</td><td>1</td></tr><tr><td>Year Built:</td><td>1930</td></tr><tr><td>Total Living Area:</td><td>1508</td></tr><tr><td>Building Value:</td><td>\$42,859</td></tr><tr><td>Extra Features Value:</td><td>\$0</td></tr><tr><td>Land Value:</td><td>\$9,000</td></tr><tr><td>Total Current Market Value:</td><td>\$51,859</td></tr><tr><td>Total 2019 Market Value:</td><td>\$53,226</td></tr><tr><td>Municipality:</td><td>GREENVILLE</td></tr><tr><td>Township:</td><td>GREENVILLE</td></tr><tr><td>Fire Service District:</td><td>INSIDE MUNICIPALITY</td></tr><tr><td>Census Tract:</td><td>100</td></tr><tr><td>Neighborhood:</td><td>004148</td></tr><tr><td>Elementary School:</td><td>Elmhurst ES</td></tr><tr><td>Middle School:</td><td>C M Eppes MS</td></tr><tr><td>High School:</td><td>J H Rose HS</td></tr></table>	Parcel:	05206	More Info:	05206	Physical Address:	1211 S WASHINGTON ST	Owner Name:	CRONES LLC	OwnerAddress1:	MOLLEIGHBROOKE PROPERTIES LLC	OwnerAddress2:	1645 E ARLINGTON BLVD STE E	OwnerAddress3:		City / State / Zip:	GREENVILLE NC 27858	NC PIN:	4687287402	Subdivision / Section / Phase:		Prior Legal Description:	RES. 1211 WASHINGTON	Block / Lot:		Tract:		Building Number / Unit:		Acres:	0.15	Current Owner		Deed/Document:	003819 00657	Map Book:	-	Deed / Document Date:	08/2019	Deed / Document Sales Price:	\$51,000	Building Type / Use:	SFR CONST(SFR)	Number of Buildings:	1	Year Built:	1930	Total Living Area:	1508	Building Value:	\$42,859	Extra Features Value:	\$0	Land Value:	\$9,000	Total Current Market Value:	\$51,859	Total 2019 Market Value:	\$53,226	Municipality:	GREENVILLE	Township:	GREENVILLE	Fire Service District:	INSIDE MUNICIPALITY	Census Tract:	100	Neighborhood:	004148	Elementary School:	Elmhurst ES	Middle School:	C M Eppes MS	High School:	J H Rose HS
Parcel:	05206																																																																										
More Info:	05206																																																																										
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Owner Name:	CRONES LLC																																																																										
OwnerAddress1:	MOLLEIGHBROOKE PROPERTIES LLC																																																																										
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Subdivision / Section / Phase:																																																																											
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Deed/Document:	003819 00657																																																																										
Map Book:	-																																																																										
Deed / Document Date:	08/2019																																																																										
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High School:	J H Rose HS																																																																										
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EXHIBIT D



CHARLES BLVD

GLENN ARTHUR STREET

14th STREET

COTANCHE STREET

CHARLES BLVD

13th STREET

FORBES STREET

FORBES STREET

10th STREET

11th STREET

12th STREET

EVANS STREET

EVANS STREET

WASHINGTON STREET

WASHINGTON STREET

GREENE STREET

GREENE STREET

PITT STREET

PITT STREET

14th STREET

13th STREET

12th STREET

11th STREET

EXHIBIT E

- II. The president will report all actions taken under the authority of the delegations in sections I.B., through I.E., to the appropriate committee of the Board of Governors either in writing before the next regular meeting of the Board of Governors or at the next regular meeting of the Board of Governors.
 - III. These delegations will remain in effect unless and until the Board of Governors rescinds them in whole or in part.
-

^[1] The Secretary of the University is authorized to annotate the referenced policies and regulations to cross-reference these delegations.

^[2] The Board approved further authority delineations at the April 2015 meeting, ~~re~~ "Resolution to Delegate Limited Authority for Approval of Salary Adjustments to the President," and reaffirmed in March 2016 "Resolution of the Board of Governors Review and Approval of Proposed Salary Adjustments."

^[3] The Board of Governors may delegate additional authority to the president or boards of trustees for real property transactions consistent with Section 600.1.3 of the UNC Policy Manual.

^[4] This delegation shall be interpreted consistent with G.S. 143C-8-12.

^[5] The Board of Governors may delegate additional authority to the president or boards of trustees for approval of capital improvement projects consistent with Section 600.1.1 of the UNC Policy Manual.

200.6: Adopted 11/13/06, Amended 06/08/07, Amended 04/08/11, Amended 08/10/12, Amended 10/11/12, Amended 07/29/16, Amended 10/14/16, Amended 05/22/19

200.7 Duties, Responsibilities, and Expectations of Board Members

- I. Applicability and Purpose. This policy sets forth the duties, responsibilities, expectations, and standards of conduct for members of the Board of Governors of the University of North Carolina, the boards of trustees of the constituent institutions, and the boards of University-affiliated organizations where membership includes individuals appointed by the Board of Governors.
- II. Definitions. For purposes of this policy:
 - A. "Board" means the Board of Governors, a board of trustees of a constituent institution of the University of North Carolina, or a board of a University-affiliated organization with members appointed by the Board of Governors.
 - B. "Board member" means any member of the Board of Governors, a board of trustees of a constituent institution of the University of North Carolina, or the board of a University-affiliated organization.
 - C. "Institution" means the University of North Carolina or a constituent institution of the University of North Carolina.
 - D. "University-affiliated organization" means an institution or organization that the Board of Governors is authorized to establish or to which it is authorized to appoint board members pursuant to statute, but does not include Associated Entities covered by Section 600.2.5.2[R] of the UNC Policy Manual or Centers or Institutes covered by Section 400.5[R] of the UNC Policy Manual.
- III. Duties and Responsibilities. Board members are responsible for performing essential functions that are central to the governance of the University, as described in Chapter 116 of the North Carolina General Statutes, *The Code of the University of North Carolina*, the Policy Manual of the University, and the policies and by-laws of the constituent institutions. Board members shall adhere to the standards of conduct and fulfill duties and expectations set forth in this policy.
 - A. Attendance. Board members shall attend board meetings. If a member of the Board of Governors is, for any reason other than ill health or service in the interest of the State or nation, absent for four (4) successive regular meetings of the Board, his or her place as a board member shall be deemed vacant.^[1] If a member of a board of trustees of a constituent institution is, for any reason other than ill health or service in the interest of the State or nation, absent for three (3) successive regular meetings of a board of trustees, his or her place as a board member shall be deemed vacant.^[2]
 - B. Participation in Policy and Oversight Functions. Board members are expected to prepare for meetings; actively contribute to the work of the board; and act in accordance with the governance, oversight, and advisory functions allocated to the board by:
 - 1. Reviewing and inquiring about materials that involve the institution or University-affiliated organization, such as board minutes and annual reports;
 - 2. Understanding and participating appropriately in the oversight function allocated to the board with respect to the finances and effectiveness of the institution or University-affiliated organization;
 - 3. Seeking information from and consulting appropriately with the chief executive officer of the institution or University-affiliated organization to gain additional context, make well-informed policy decisions, and carry out responsibilities for board-level oversight and monitoring of the affairs of the institution or University-affiliated organization;
 - 4. Participating as requested in the preparation and revision of long-range plans for the institution or University-

accordance with the practices and customs of formality and decorum articulated in Robert's Rules of Order;[3]

7. Referring matters of administration and management to the chief executive officer of the institution or University-affiliated organization for handling;
8. Respecting and following executive leadership, management, and reporting lines when communicating with the University and the constituent institutions, and refraining from directing matters of administration or executive action except through the chief executive officer of the institution or University-affiliated organization; and
9. Recognizing that board members' authority is collective, not individual, and only arises from their participation with other members of the board when it is officially convened.

C. Ethical Conduct. Board members shall adhere to high standards of ethical conduct by complying with laws, regulations, and University policies applicable to their service as board members and public officials, which include the obligations to:

1. Exercise authority honestly and fairly, free from impropriety, threats, favoritism, and undue influence, as required by the State Ethics Act.[4]
2. Keep confidential all information and records that are required by law to be kept confidential, including, but not limited to, personnel records and information, student records and information, attorney-client communications, and closed session deliberations and information;
3. Comply with North Carolina open meetings and public records laws;
4. Bring matters of concern, potential or real conflicts of interest, and reports of unlawful and/or noncompliant activity to the attention of the appropriate institutional or organizational officer, such as the president, chancellor, board chair, or committee chair;
5. Avoid any personal or business interest that may conflict with the member's responsibilities to the institution or University-affiliated organization;
6. Avoid even the appearance of impropriety when conducting the institution's or University-affiliated organization's business; and
7. Recuse oneself from consideration of matters during meetings when required.

D. Support for the Institution. Board members shall discharge their duties to the institution with care, skill, prudence, and diligence by:

1. Exercising the degree of diligence, care, and skill that a prudent individual familiar with such matters would use under similar circumstances in a like position;
2. Acting in good faith with the best interest of the institution or University-affiliated organization in mind;
3. Conducting oneself, at all times, in furtherance of the institution's or University-affiliated organization's goals and not the member's personal or business interests;
4. Providing oversight to ensure that the institution's or University-affiliated organization's resources are dedicated to the fulfillment of its mission; and
5. Becoming knowledgeable about issues that affect the University and seeking to understand the educational needs and desires of all the State's citizens, and their economic, geographic, political, racial, gender, and ethnic diversity.[5]

IV. Removal. A board member may be removed, or recommended for removal, for specified cause by affirmative vote of two-thirds (2/3) of the voting membership of the Board of Governors then in office.

A. Removal of a Member of a Board of Trustees or University-affiliated Organization. The Board of Governors may remove from the board of trustees of a constituent institution or from the board of a University-affiliated organization a board member who was elected by the Board of Governors. With respect to a member of a board of trustees who was appointed by the Governor, the Board of Governors may vote to recommend to the Governor that the member be removed.

B. Removal of a Member of the Board of Governors. The Board of Governors may recommend to the State House of Representatives or State Senate, whichever chamber elected the member, that a member of the Board of Governors be removed.

C. Procedure for Removal; Specification of Cause; Notice and Opportunity to Respond

1. The chair of the Committee on University Governance shall send the board member a written specification of reasons to consider the board member's removal. In the event that the chair of the Committee on University Governance is the subject of the board's consideration of a recommendation of removal, the vice chair of the Committee on University Governance will temporarily serve in the chair's role. The notice shall state that the board member may submit a written response to the chair of the Committee on University Governance within five (5) business days of receipt of the written notice.
2. The Committee on University Governance shall consider the written response of the board member and recommend to the Board of Governors action that the committee deems appropriate. If the board member submits no written response to the chair of the Committee on University Governance within the specified timeframe, the Committee on University Governance may continue with its consideration of removal of the board member, or a recommendation that the appropriate appointing or electing authority remove the board member.
3. In its consideration of each matter, the Committee on University Governance may review any documents or establish any procedures it considers necessary based on the particular circumstances involved.

V. Other Matters

A. Effective Date. The requirements of this policy shall be effective on the date of adoption by the Board of Governors.

B. Relation to State Laws. The foregoing policies as adopted by the Board of Governors are meant to supplement and do

guidelines as may be adopted from time to time by the president.

[1] N.C.G.S. § 116-7(c).

[2] N.C.G.S. § 116-31(j).

[3] Section 202C(4) of *The Code* of the University of North Carolina.

[4] N.C.G.S. § 138A-2.

[5] N.C.G.S. § 116-7.

200.7: Adopted 04/10/15

200.8 Policy on Chancellor Searches and Elections

The Board of Governors adopts the following policy regarding the chancellor search and election process.

- I. Purpose. The search for and election of a new chancellor of a constituent institution of the University of North Carolina requires the participation, involvement, and collaboration of the board of trustees of the constituent institution, the chancellor search committee, the president, and the Board of Governors, each of which performs distinct roles and functions. Within the University, chancellors report to the president. The president therefore has the primary responsibility for ensuring there is a thorough and reliable process for chancellor searches, culminating in the election of the chancellor by the Board of Governors.

Consistent with the statutory responsibilities of the board of trustees, president, and Board of Governors, this policy establishes requirements for the chancellor search and election process, and describes the resources that shall be provided through the UNC System Office and the constituent institutions during each search.

II. Search Process

- A. President. As further described in this policy, the president shall have the overall responsibility for overseeing System Office staff with responsibility for managing and supporting chancellor searches, helping determine search committee membership, charging the search committee, developing chancellor leadership competencies, interviewing chancellor finalists, participating in the reference checking process, negotiating the terms of employment for a chancellor-elect consistent with state law and Board policy, and offering a chancellor-elect for final consideration by the Board of Governors.
- B. Board of Trustees. As further described in this policy, members of the boards of trustees shall serve as members of the search committee, shall consider candidates proposed by the search committee as potential finalists, and shall refer a final slate of candidates to the president for additional vetting and consideration.
- C. Board of Governors. As further described in this policy, selected members of the Board of Governors shall provide input and advice to the president on a slate of finalists and the governors both in committee and then as the full body shall consider and vote on a proposed chancellor-elect.
- D. Search Committee
1. The search committee shall be composed of representatives of the board of trustees, the faculty, the student body, the staff, the alumni, the local community, and other campus constituencies as may be deemed appropriate, which can ordinarily be achieved with a committee of no more than 20 members.
 2. No member of the Board of the Governors may serve as a member of the search committee.
 3. The chair of the board of trustees in consultation with the president shall identify a chair of the search committee; the chair of the board of trustees may also serve as chair of the search committee with the agreement of the president.
 4. As further described in this policy, the search committee shall work on behalf of the board of trustees and the president to receive the input of institutional stakeholders and community members, to develop a leadership statement that describes the desired qualities for the new chancellor, to conduct interviews and consider the qualifications of candidates, and to propose a slate of finalists for consideration of the board of trustees, who recommends the slate to the president.
- E. Budget and Staff. Upon the establishment of the search committee, the chair of the board of trustees, in consultation with the president, shall establish a budget and identify staff for the committee. With the exception of assigned System Office professional staff and their direct expenses, the costs for a chancellor search are the responsibility of the constituent institution. This shall include the fees and expenses of any engaged outside professional search and/or background investigation firms.
- F. UNC System Office. The UNC System Office shall provide the necessary resources and support to effectively carry out a chancellor search, including but not limited to qualified human resources staff with expertise in executive search, logistical and administrative support to the chair of the search committee, and training materials which shall serve to orient trustees and search committee members with respect to their roles and responsibilities in the search process. The

EXHIBIT F

tourcover

ECU News Services
(<http://www.ecu.edu/news/>)

Touring the Haynie Building, where renovations would begin for ECU's proposed millennial campus, are Ted Morris, left, ECU associate vice chancellor for engagement, innovation and development; and Scott Buck, associate vice chancellor for business services. (Photo by Cliff Hollis)

FUTURE CONNECTIONS

Warehouse district eyed for ECU's millennial campus

March 12, 2015

By Steve Tuttle

ECU News Services (<mailto:tuttles@ecu.edu>)

East Carolina University is moving ahead with plans to rehabilitate a seven-block area in Greenville's warehouse district as a millennial campus -- a site where the university can collaborate with private companies to commercialize research discoveries and offer advanced training to benefit the region's high-tech industries.

Officials said the project initially would focus on the Export Tobacco Leaf factory known as the Haynie Building. Built in 1914, it fronts 10th Street and covers the entire block between Pitt and Greene streets three blocks west of main campus.

The 22.3-acre site, which ECU acquired in 2003, also includes the American Tobacco Co. Storage Warehouse No. 2, which dates to the early 1900s, and the Prichard-Hughes Warehouse, built in 1905. All three buildings are listed on the National Register of Historic Places.

ECU has received eligibility certification to apply the N.C. Historic Mill Rehabilitation Tax Credit toward the cost of renovating the three buildings, according to Scott Buck, ECU associate vice chancellor for business services. Buck said those tax credits could defray 40 percent or more of the cost of the renovations.

warehousedistrictsmall
(</cs-admin/news/images/warehousedistrictlarge.jpg>)
uptown (</cs-admin/news/images/uptownpropertieslarge.jpg>)

Pictured upper left is

The ECU Board of Trustees voted Feb. 20 to ask UNC President Tom Ross to recommend that the UNC Board of Governor grant millennial campus designation to what has been named

*a map of ECU's
Warehouse District
properties and at
upper right is a map
of downtown
properties. Below is
an aerial view
showing the path of
10th Street
Connector. Click on
each map for a
larger view.
(Contributed
images)*

connector (/cs-admin/news/images/connectorlarge.jpg)

<https://www.ecu.edu/news/millennialcampus.cfm>
the East Carolina Research and
Innovation Campus (ECRIC). The board
is expected to discuss the request
when it meets at ECU on April 8-10.

Millennial campuses are geographic
areas where anchor institutions
—usually research universities— join
with education, industry, government,
military and other partners to discover,
invent and produce new innovations.
Ted Morris, ECU associate vice
chancellor for engagement, innovation
and development, said such campuses
deliver the training and expertise
necessary to create new-economy
businesses and jobs and connections to
private investors to fund start-ups.

The sites often reclaim blighted urban
areas, which then attracts mixed-use
housing, offices and retail spaces.

Seven other UNC campuses already
operate millennial campuses. The
Centennial Campus at N.C. State
University is the largest.

Under state law, the millennial campus designation will give ECU regulatory
flexibility to finance repairs to the site and leeway to collaborate in business
ventures with industry partners, Morris said. As a public institution supported by
tax dollars, ECU normally would be precluded from such ventures.

The focus of the millennial campus will be on attracting, training and retaining
skilled workers, many of whom will be ECU graduates. "These graduates are in
high demand by regional employers and also help attract new employers to the
region," Morris said.

Provost Ron Mitchelson emphasized that obtaining the required millennial campus
designation for ECRIC isn't the first step in the process. He said it's the last.

Mitchelson said that under UNC system policies, millennial campus designation
can only be granted to ECU after it demonstrates it has the administrative and
fiscal capability to support such an undertaking. ECU also must show that the
programmatic focus of the campus dovetails with its mission to serve the region
by enhancing economic development and creating new jobs.

Mitchelson said ECU has spent eight years getting ready to demonstrate it can meet those criteria.

ArtsInnovationDistrictsmall (/cs-admin/news/images/ArtsInnovationDistrictlarge.jpg)

The ECU-Greenville Integrated Plan for an Arts and Innovation District is shown above. Click on the image for a larger view. (Contributed image)

In that time the university became even more closely engaged with civic and business leaders in the region to develop shared economic-development goals and to define ways ECU can help achieve those goals. The university then worked with those partners to create a portfolio of advanced training programs addressing those goals, Morris said.

The university has focused on partnerships with businesses in health care, advanced manufacturing (which includes pharmaceuticals), and the military, Morris said.

Chancellor Steve Ballard announced last November that ECU was creating a manufacturing center of excellence in partnership with three pharmaceutical companies that are major employers in the region-- Patheon, Hospira and Mayne Pharma.

The center initially will operate out of the Sci-Tech Building under the direction of the chemistry department, Mitchelson said, and then move to the Haynie Building after it's been rehabbed.

Funding for ECRIC emerged on March 2 when the Golden LEAF Foundation awarded a \$1.75 million grant to ECU and Pitt Community College to establish a biopharmaceutical work force development and manufacturing center.

hayniesmall (/cs-admin/news/images/haynielarge.jpg)

The Haynie Building, where renovation could begin within a year, is highlighted with a #1 on the map.

In 2014 the foundation awarded ECU a \$1.25 million grant to fund the Advanced Manufacturing and Innovation Academy, which immerses middle school students in

careers and entrepreneurial opportunities in science, technology, engineering, arts/design and math.

ECU enjoys strong ties with pharmaceutical entrepreneurs in the region, notably Phil Hodges, an ECU graduate and former faculty member who in 1994 founded Metrics Inc., a contract pharmaceutical formulation and manufacturing company. He launched the company with the support of local angel investors. In 2013 Hodges sold Metrics to Mayne Pharma, an

Australian concern, for \$120 million.

Hodges now invests venture capital to commercialize additional ECU discoveries, Morris said.

When Durham-based Patheon acquired the Greenville operations of DSM Pharmaceuticals in 2014, Morris said ECU played a substantial role in persuading the company to locate a major expansion in Greenville. The expansion will create 488 new jobs and create the world's largest contract drug manufacturing organization. The state approved a \$7.4 million economic development incentive package to seal the deal.

ECU's other partner in the advanced manufacturing center is Hospira, a manufacturer of injectable medicines and infusion technologies. It employs about 2,600 at its Rocky Mount facility. Hospira announced in 2012 that it would invest \$275 million expanding the Rocky Mount plant and create 200 new jobs.

TenthStreetConnectorsm (/cs-admin/news/images/TenthStreetConnectorlg.jpg)

A North Carolina Department of Transportation graphic shows the planned 10th Street Connector bridge that will cross Dickinson Ave.

ECU has created training programs for IBM and other high-tech manufacturers. All such programs eventually will be housed in the warehouse district.

It's anticipated that ECRIC will lease space in the Haynie Building to one or more partner companies, angel investors and some business start-ups, Mitchelson said.

"We're excited to be placing some innovative programs in that space," Mitchelson said. "This is a place where pharmaceuticals, health care and engineering will all come together."

ECU already has demolished some structures in the warehouse district that were in poor condition and not historically significant, Buck said. More likely will fall, including the former Bostic-Sugg Furniture Co. building, he said.

The millennial campus property lies within Greenville's new arts and innovation district along Tenth Street and down the Dickinson Avenue corridor. The city is advancing several urban renewal programs to

rehabilitate the district, which once was the heart of Greenville's tobacco and manufacturing economy.

smokestack A N.C.

Historic Mill

Rehabilitation Tax Credit would defray the cost of renovating buildings in the warehouse district for the millennial

campus. (Photo by Cliff Hollis)

Some investment and changes already are occurring in the district and in uptown, and more is expected, city officials said.

All the buildings across 10th Street from the millennial campus site have been demolished to make way for the 10th Street Connector, a new roadway linking main campus with the medical campus about 1.3 miles away. The four-lane, divided roadway will become an attractive new gateway to the 10th Street side of main campus.

A main feature of the connector will be an elevated section crossing the railroad tracks at the intersection of 10th and Dickinson two blocks west of the Haynie Building. That will eliminate a major traffic bottleneck in the area.

After extensive landscaping and rehabilitation, officials hope the millennial campus and the city's arts and innovation district will blossom into a smaller-scale version of the American Tobacco Warehouse District in Durham.

Mitchelson pointed out that the warehouse district property has something that's in huge demand on campus—parking. The warehouses are surrounded by acres of paved lots, which will be a big advantage once tenants move in and active programs commence there, he said.

Mitchelson said rehabilitating the Haynie Building will begin with repairing or replacing the roof. He said that project should begin within a year. Rehabilitating the entire site will take years, Buck said.

Mitchelson said ECU would not know exactly how much it would cost to renovate the Haynie building until officials determine the specific needs of individual programs that will operate there.

In addition to the warehouse district property, ECU is asking the Board of Governors for millennial campus designation for three other parcels it owns. The other sites are:

- The university's uptown properties--19 acres that lie on both sides of Reade Street from Fifth Street to First Street and the river front park.
- The Health Sciences Campus.
- The Stratford Arms and Blount Fields properties across Greenville

Morris said ECU will develop industry partnerships and training programs for businesses that fit the use of each of the three other sites. A program for advanced medical devices, for example, logically would locate on the Health Sciences Campus, Morris explained.

*The Haynie Building in Greenville's historic warehouse district is pictured below. Built in 1914, it fronts Tenth Street and covers the entire block between Pitt and Green streets three blocks west of main campus.
(Photo by Cliff Hollis)*

building

East Carolina University ECU News Services (<http://www.ecu.edu/news/>)

1001 E. Fifth St., Greenville, NC 27858 USA | 252.328.6481 | Contact us (<http://www.ecu.edu/news/aboutecunewsservices.cfm>)

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http://www.theeastcarolinian.com/news/article_30c91a64-f674-11e8-893f-974f227c09de.html

City

ECU plans new research building

Pat Polomchak

Dec 2, 2018



A model picture of East Carolina University's new research facility, the ECU Life Sciences and Biotechnology Building.

Contributed by Robert Brown

In an effort to increase research opportunities at East Carolina University alongside community partners in a variety of sciences, the university is planning a new research building called the Life Sciences and Biotechnology Building.

John Fields, director of Facilities Engineering and Architectural Services at ECU, said the new building will mainly improve access to research for students. Fields said the facilities will include new classrooms and laboratories which will allow students to work alongside scientific partners within the surrounding community.

“This building will create opportunities for partnerships and discovery with our local industry partners, provide for research programs that will be globally competitive,

and produce higher-skilled graduates that will be able to compete successfully in the global workplace,” Fields said.

Fields said the building will be located between 9th Street, 10th Street, Evans Street and Cotanche Street.

Robert Brown, project manager for the new building, said utility work has already begun in the area. He said the location of the building would interfere with the main service point for providing power for campus which is being relocated.

Brown said the building will have four main floors, with a fifth floor for mechanical purposes. The project manager said the building will contain approximately 141,000 square feet of construction and there likely would not be a parking deck associated with the building.

“We had intended to potentially do a parking deck, but the project environment that we are building in right now is a bullish market for the construction industry,” Brown said. “Escalating prices are extreme right now. In order to do this project, we are eliminating the expense of a parking deck.”

Brown said the extra funds saved from not building a parking deck would go straight into the building, to maximize the value of the building with the money they were given. However, Brown said the university has identified other locations on campus where a parking deck would be better used, such as behind the new student center.

Brown said Facilities Administration is anticipating the start of construction to be in May 2019, with the 27-month project planned to be occupied by September 2021.

Brown said the main departments the building will serve are biology, engineering and physics. He said the building will be in the new Millennial Campus and will serve as an opportunity for private businesses to make partnerships with the university.

“It allows us to make joint ventures as we develop, find, explore and have positive outcomes of our research that are beneficial to industry,” Brown said. “Because of where this building is located, we can then communicate with industry.”

Brown said the Life Sciences and Biotechnology Building is considered the lead building for the development of the Millennial Campus, which is related to the

Warehouse District.

According to ECU's website, millennial campuses are areas where a research-based university partners with government and industry to create new research and new jobs, with the Centennial Campus at North Carolina State University being the largest example in the University of North Carolina System.

Brown said in terms of what percentage of floor space goes to each aspect of the building, 77 percent of the rooms in the building will be for research purposes, broken down between research groups, shared research and core research facilities. Meanwhile, seven percent of the building will be instructional space, like lecture halls, and the remaining 16 percent is split between administrative offices, support space and public space.

"It won't just be ECU classes," Brown said. "It is also connections with the private sector, so that we can have education and give education in that sense to them, getting that connectivity of what they are doing in the industry and what we are finding in science."

Brown said the concept of having private partners allows both graduate and undergraduate students to get the experience of dealing with industry, complete with state-of-the-art equipment and it will show them why they are doing their research.

Brown provided a few statements created by Facilities Administration in a collective effort alongside campus operations and campus administration, which was sent to the Board of Governors (BOG) and the legislature to approve their bond request.

"The ECU Life Sciences and Biotechnology building is expected to improve the efficiency and effectiveness of teaching and research for existing academic programs, accommodate the growth of programs approved and established over the last decade, and support the development of ECU's recently approved Millennial Campus," the statement said.

The statement included provisions for how the building will remain eco-friendly, citing using an efficient, low-energy system for temperature maintenance along with using natural daylight with large windows to reduce energy used on light.

Brown said the completion of the building in 2021 will allow the university to renovate science buildings, such as Howell, when funding is available. Brown added

how they can do this by temporarily moving departments from those buildings to the new one.

“That is growth without having to construct,” Brown said. “In our planning, that was the whole thought. Now, I go and just renovate these spaces, which have a lot of infrastructure in place, instead of having to go ground-up.”

Brown said the Life Sciences and Biotechnology Building will improve the quality of research being done at ECU. He said this building is another step on the path of the being seen as a major university in academia.

ECU Research Division Makes its Way Uptown

Published Aug 24, 2018 by

East Carolina University's Division of Research, Economic Development and Engagement has found a new home in Uptown Greenville.

REDE will move into 209 East 5th Street in the fall of 2019, just off the edge of main campus near the ECU Registrar's office and the popular Sup Dogs restaurant. The move is part of an enhanced effort by ECU to connect with the Greenville community and grow innovation and entrepreneurship opportunities for its students, faculty and industry partners.

"We are committed to partnering with city and business leaders to grow Greenville into a model college town," ECU Chancellor Cecil P. Staton said. "That includes partnering with city and business leaders to grow Greenville into a model college town."

"Moving ECU's research division to Uptown is just the beginning," he said. "We're developing ECU's millennial campus in the warehouse district, as well as constructing our new \$90 million Life Sciences and Biotechnology Building on 10th Street as part of our commitment to strengthen the region."

The division, led by vice chancellor Jay Golden, includes more than 70 staff members and researchers. REDE supports major research initiatives led by the university, its faculty and community partners, while also providing research compliance support.

"I'm very excited to move our division to Uptown and be part of the momentum that's making Greenville an even more dynamic place to live, work and play," Golden said. "We expect the division to grow and have a positive economic impact for numerous businesses. With all of the other projects ECU has in the works for the area, including the development of our millennial campus, Uptown will only continue to grow and prosper."

Over the next five years, ECU will construct nearly 210,000 square feet of new office and institutional space in the Uptown district. With increased financial participation for organizational support and the movement of jobs to the district, ECU officials believe the decision to partner with the area will boost the local economy.

Additionally, ECU's millennial campus will stimulate economic development through partnerships. The millennial campus allows the university to collaborate with private companies to commercialize research discoveries and offer training that benefits Greenville's high-tech industries.

"The millennial campus is a wildly important step for ECU and the Uptown

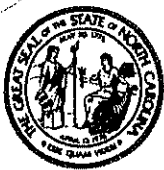
district,” said Bianca Shoneman, president and CEO of Uptown Greenville. “Public-private partnerships are the new wave of economic development. The concept of linking the business sector with universities and arts and culture venues is growing. It will have a significant impact on our community.”

Over the last five years, Uptown has seen more than \$600,000 of public and private investment, adding 600 new full or part-time jobs in the area. The city has also partnered with ECU to enhance safety initiatives in Uptown, providing a healthier living and learning environment for students.

“With an enhanced Uptown, we can provide ECU students and staff with improved options for dining, entertainment, living, arts and culture that are within walking distance of campus,” said Don Edwards, president of the University Book Exchange in Uptown. “Clearly, we would like to see an expanded ECU presence in the Uptown area and REDE is setting an example. Future teamwork on ventures including the performing arts center and an uptown hotel would be beneficial for the continued improvement of our campus edge.”

Learn more about REDE and ECU research programs and initiatives online at rede.ecu.edu. Explore Uptown Greenville online at uptowngreenville.com.

EXHIBIT G



STATE ETHICS COMMISSION
2019 STATEMENT OF ECONOMIC INTEREST

This entire form must be completed to fulfill your ethics filing obligation.

For Staff Use Only
Date Received:

RECEIVED

APR 15 2019

STATE ETHICS COMMISSION

8/5 Checked for completion
8/5 Scanned 4/18/19 Date

Incomplete Qs _____
Supp. sent date _____ by _____
Supp. received date _____
Entered in database _____ by _____

Filer's Name (First, Middle, Last)				
Prefix	First Name	Middle Name	Last Name	Suffix
	Max	Ray	Joyner.	Jr.
Current Employer			Job Title	
Towne Insurance			Life Insurance Salesman	
Nature or Type of Business				
Life Ins. Sales				
Reason For Filing (Complete all that apply.)				
State Government Job (Specify agency and position.)			Board/Commission (List complete names of all State boards on which you are serving or are being considered.)	
			East Carolina University Board of Trustees	
Judicial Officer (Specify office.)			Legislator (Specify House or Senate.)	
A. Do other immediate family members reside in your household? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No On this form, "immediate family" includes your spouse (unless legally separated). It also includes members of your extended family (your and your spouse's children, grandchildren, parents, grandparents, and siblings, and the spouses of each of those persons) who reside in your household.				
List the full name of all adults and emancipated minors in your household. Minors are children under 18. They are emancipated by marriage, enlistment in the US military, or court order for emancipation.				
Full names of Adults and Emancipated Minors	Relationship	Employer	Job Title	Nature of Business
Kelly Jordan Joyner	Wife	None		

B. List only the initials of all unemancipated minors in your household below. A minor is a child under 18 years old.

List the full name of each minor child on the Confidential Form at the end.

<u>Initials of Unemancipated Minors</u>	Relationship	Employer	Job Title	Nature of Business

Property Interests

1. As of December 31, 2018, did you or any members of your immediate family:

A. have an ownership interest in North Carolina real estate (including your residence) with a market value of \$10,000 or more?

☒ Yes ☐ No

Owner of Real Estate	% Ownership Interest	Location by City	Location by County
Max Ray Joyner, Jr.	100	Greenville	P.H.
" "	20	Greenville	P.H.
" "	50	Greenville	P.H.
" "	25	Atlantic Beach	Carteret

B. lease or rent real estate or personal property to or from the State of North Carolina with a market value of \$10,000 or more?

☐ Yes ☒ No

Name of Lessor	Name of Lessee (Renter)	If Real Estate, Location by City & County	If Personal Property, Describe

2. At any time during 2017 or 2018, did you or any members of your immediate family sell to or buy from the State of North Carolina personal property worth \$10,000 or more?

☐ Yes ☒ No

Name of Purchaser	Name of Seller	Type of Property

Financial Interests

3. As of December 31, 2018, did you or any members of your immediate family own any of the following financial interests valued at \$10,000 or more? **List each company individually.**

A. Stock in a publicly owned company?

☐ Yes ☒ No

► Do not list interests in a widely held investment fund (including mutual funds, regulated investment companies, or pension or deferred compensation plans) if:

1. the fund is publicly traded or its assets are widely diversified; and
2. neither you nor an immediate family member are able to control the underlying assets.

Owner of Interest	Full Name of Company or ticker symbol

B. Stock options in a company or business?

☐ Yes ☒ No

Owner of Stock Option	Full Name of Company (Do not use a ticker symbol)

C. Interests in a non-publicly owned company or business entity? These include interests in sole proprietorships, partnerships, limited partnerships, joint ventures, limited liability companies, limited liability partnerships, and closely held corporations.

☒ Yes ☐ No - If "No," proceed to question 4.

Owner of Interest	Name of Company or Business Entity
Mr. Ray Joyner, Jr. 25%	Glenn Arthur, LLC
Mr. Ray Joyner, Jr. 50%	Crones, LLC
Mr. Ray Joyner, Jr. 20%	Pig Bros, LLC

C (1). For each company or business entity identified in question 3.C. (the "Primary Company"), please list the names of *any other* companies or business entities in which the Primary Company owns securities or equity interests valued at over \$10,000, if known.

Non-Publicly Owned Company or Business Entity (the Primary Company)	Other Companies in which the Primary Company Owns Security or Equity Interests
<input checked="" type="checkbox"/> None or not known	

C (2). If you know that any entity listed in 3.C or 3.C(1) above has any material business dealings or business contracts with the State of North Carolina, or is regulated by the State, briefly describe that business activity.

Name of Company or Business Entity	Description of Business Activity with the State
<input checked="" type="checkbox"/> None or Not Known	

4. As of December 31, 2018, were you or any members of your immediate family the beneficiaries of a vested trust with a value of \$10,000 or more that you created, established, or controlled?

Do not list assets held in blind trusts. See 2019 SEI Helpful Tips for the definition of "Vested Trust" and "Blind Trust."

☒ Yes ☐ No

Name and Address of Trustee	Description of the Trust	Your Relationship to the Trust
Marken Joyner, Sr.	Catherine S. Joyner Trust	Beneficiary
1724 Circle Drive Granville N.C. 27858		

5. As of December 31, 2018, did you any members of your immediate family have liabilities of \$10,000 or more, excluding the mortgage on your primary personal residence? Examples include credit card debts, auto loans, student loans, personal loans and intra-family debt.

☒ Yes ☐ No

Name of Debtor	Type of Creditor (commercial bank, credit union, individual, etc.)
BB-T	
1st Bank	Commercial Bank
1st Citizens	

6. List each source of income (**not** specific amounts) of more than \$5,000 received by you or any members of your immediate family during 2018. Include salary, wages, state/local government retirement income, professional fees, honoraria, interest, dividends, rental income, business income, and other types required to be reported on State and federal tax returns.

Do **not** include income received from the following sources:

- ▶ Capital gains
- ▶ Federal government retirement
- ▶ Military retirement
- ▶ Social security income/SSDI

Recipient of Income	Name of Source	Type of Business/Industry	Type of Income
Marken Joyner, Jr.	Towne Insurance	Insurance	Ordinary
	The Hartford	Insurance	" "
	Meredith Park	Rental	" "
	Glenn Arthur LLC	Rental	" "

Crowns LLC Rental
Catherine S. Joyner Trust Trust

Professional and Civic Relationships

7(a). During 2018, were you or any members of your immediate family a director, officer, governing board member, employee, independent contractor, or registered lobbyist of a nonprofit corporation or organization operating in North Carolina primarily for religious, charitable, scientific, literary, public health and safety, or educational purposes?

☒ Yes ☐ No - If "No," proceed to question 8.

► Do not list State boards or entities.

► Do not list organizations of which you are a mere member.

Name of Person	Position	Name of Nonprofit Corporation or Organization	Nature or Purpose of Organization
Max Ray Joyner, Jr.	Sec.	Greenville Jobs Now	non-profit corp.
" "	Sec.	Greenville Progress Coalition	PAC
" "	Sec.	East Rising, Inc.	non-profit corp.
" "	Sec.	East Rising PAC	PAC
" "			

7(b). If the nonprofit corporations or organizations listed above do business with the State of North Carolina or receive State funds, briefly describe the nature of that business, if known or with due diligence could reasonably be known.

Name of Nonprofit Corporation or Organization	Describe State Business
<input checked="" type="checkbox"/> None or Not Known	

8. During 2018, were you or any members of your immediate family a director, officer, or governing board member of any society, organization, or advocacy group with an interest in matters over which your agency or board may have jurisdiction?

☒ Yes ☐ No ☐ Legislator/Judicial Officer - You are not required to complete this question if you are filing because you are a legislator or judicial officer or you are filing as an appointee to one of those offices.

► Do not list organizations of which you are only a member and do not serve in a leadership role.

Name of Person	Name of Society, Organization, or Advocacy Group	Leadership Position (Director, Officer, Board Member)
Max Ray Joyner	East Rising, Inc.	officer
" "	East Rising PAC	officer

9(a). List the name of each business with which you were associated where you or a member of your immediate family was an employee, director, officer, partner, proprietor, or member or manager as of December 31, 2018.

Name of Person	Relationship to Filer	Name of Company	Role of Person
<input checked="" type="checkbox"/> No Business Associations			

9(b). If you know that any entity listed in 9(a) above had any material business dealings or business contracts with the State of North Carolina or was regulated by the State as of December 31, 2018, briefly describe that activity.

Name of Company or Business Entity	Description of Business Activity with the State
<input checked="" type="checkbox"/> Not applicable (No entities listed on #9a)	

10. Are you a practicing attorney?

☐ Yes ☒ No ☐ Judicial Officer/State Attorney

If "Yes", check each category of legal representation in which you or the law firm with which you are affiliated has earned legal fees of more than \$10,000 during 2018.

- | | | | |
|---|---|--|-----------------------------------|
| <input type="checkbox"/> Administrative | <input type="checkbox"/> Admiralty | <input type="checkbox"/> Corporate | <input type="checkbox"/> Criminal |
| <input type="checkbox"/> Decedent's Estates | <input type="checkbox"/> Environmental | <input type="checkbox"/> Insurance | <input type="checkbox"/> Labor |
| <input type="checkbox"/> Local Government | <input type="checkbox"/> Real Property | <input type="checkbox"/> Securities | <input type="checkbox"/> Tax |
| <input type="checkbox"/> Tort litigation (including negligence) | <input type="checkbox"/> Utilities Regulation | <input type="checkbox"/> Other category not listed | |

11. During 2018, were you a licensed professional (other than an attorney) or did you provide consulting services individually or as a member of a professional association for which you charged or were paid over \$10,000?

☐ Yes ☒ No

Type of Business	Nature of Services Rendered

12. Are you or your employer, or any members of your immediate family, or their employers currently:
- licensed by the State board or agency with which you are or will be associated **or**
 - regulated by the State board or agency with which you are or will be associated **or**
 - in a business relationship with the State board or agency with which you are or will be associated?
- ☐ Yes ☒ No ☐ Legislator/Judicial Officer - You are not required to complete this question if you are filing because you are a legislator or a judicial officer or you are filing as an appointee to one of those offices.

Name of Person	Name of Employer (if applicable)	Type of Relationship (Licensing, Regulatory, Business)

13. Have you or a member of your immediate family been registered as a lobbyist or lobbyist principal within the 12 months preceding your filing of this form?

☐ Yes ☒ No

Name of Lobbyist	Lobbyist's Principal	Date of Registration	Registration Expiration

Other Disclosures

14. During 2018, after you were appointed, employed, or filed or were nominated as a candidate, did you
- receive any "gift(s)" exceeding \$200 per quarter from a person or group of persons acting together,
 - when both you and those person(s) were outside North Carolina,
 - under circumstances that would lead a reasonable person to conclude the gifts were given for lobbying?
- To answer Yes, all three conditions must apply.

☐ Yes ☒ No

► Do not report gifts given by members of your extended family.

► Do not report gifts you have previously reported on the "Expense Report for Exempted Persons."

Date Item Received	Name and Address of Donor(s)	Describe Item Received	Estimated Market Value

15. During 2018, after you were appointed, employed, or filed or were nominated as a candidate, did you
- accept a "scholarship" exceeding \$200 related to your public position from a person or group of persons acting together,
 - when those person(s) were outside North Carolina?

To answer Yes, both conditions must apply.

A "scholarship" is a grant-in-aid, either direct or indirect, to attend a conference, meeting, or similar event, including tuition, travel, lodging, meals, and other similar expenses.

☐ Yes ☒ No ☐ Judicial Officer - You are not required to complete this question if you are a judicial officer or you are filing as a judicial officer appointee.

- Do not report gifts you have previously reported on the "Expense Report for Exempted Persons."
- Legislators are not required to report scholarships paid by a nonpartisan legislative organization of which the legislator or the General Assembly is a member, participant, or affiliate.

Date of Scholarship	Name and Address of Donor(s)	Describe Event	Estimated Market Value

16. Have you been appointed or considered for appointment to a covered board by the Governor or another Council of State member?

Council of State members are:

- | | | |
|-----------------------------|-------------------------------|--|
| ► Governor | ► Lt. Governor | ► Secretary of State |
| ► State Auditor | ► State Treasurer | ► Superintendent of Public Instruction |
| ► Attorney General | ► Commissioner of Agriculture | ► Commissioner of Labor |
| ► Commissioner of Insurance | | |

☐ Yes ☒ No

If "Yes," list all contributions you made in 2018 with a cumulative total of more than \$1,000 to the Council of State member who appointed you. Do not include contributions from immediate family members.

- Contributions are defined broadly in N.C.G.S. § 163A-1411(13) and include "any advance, conveyance, deposit, distribution, transfer of funds, loan, payment, gift, pledge or subscription of money or anything of value whatsoever."

Date	Amount	Contributed to
<input checked="" type="checkbox"/> No contribution(s) with a cumulative total of more than \$1,000		

17: Are you an appointee or prospective appointee as:			
a. the head of a principal state department (e.g., cabinet secretary) appointed by the Governor; or b. a North Carolina Supreme Court Justice, Court of Appeals, Superior or District Court Judge; or c. a member of any of the following boards: <ul style="list-style-type: none"> • ABC Commission • Coastal Resources Commission • State Board of Education • State Board of Elections • Division of Employment Security • Environmental Management Commission • Industrial Commission • Human Resources Commission • Rules Review Commission • Board of Transportation • UNC Board of Governors • Utilities Commission • Wildlife Resources Commission 			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "No," proceed to question 18.
d. If so, were you appointed or are you being considered for appointment to that position by a Council of State member?			<input type="checkbox"/> Yes <input type="checkbox"/> No If "No," proceed to question 18.
e. If so, you must indicate whether during 2018 you engaged in any of the following activities with respect to or on behalf of the candidate or campaign committee of the Council of State member who appointed you:			
i. Collected contributions from multiple contributors, took possession of such multiple contributions, and transferred or delivered those collected contributions to the candidate or committee?			<input type="checkbox"/> Yes <input type="checkbox"/> No
ii. Hosted a fundraiser at your residence or place of business?			<input type="checkbox"/> Yes <input type="checkbox"/> No
iii. Volunteered for campaign-related activities, including phone banks, event assistance, mailings, canvassing, surveying, or any other activity that advances the campaign of a candidate?			<input type="checkbox"/> Yes <input type="checkbox"/> No
18. Have you ever been convicted of a felony for which you have not received either: (i) a pardon; or (ii) an order of expungement?			
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Offense	Date of Conviction	County of Conviction	State of Conviction
19. Are you aware of any other information that <i>you believe</i> may assist the Ethics Commission in advising you concerning your compliance with the State Government Ethics Act?			
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, please provide that information below.			

Affirmation

The information provided in this Statement of Economic Interest and any attachments are true, complete, and accurate to the best of my knowledge and belief.

I have not transferred, and will not transfer, any asset, interest, or property for the purpose of concealing it from disclosure while retaining an equitable interest.

I understand that my Statement of Economic Interest and any attachments except for the Confidential Form regarding Unemancipated Children are public records.

I have read and understand the following statutes:

N.C.G.S. § 163A-191. Concealing or failing to disclose material information.

A filing person who knowingly conceals or knowingly fails to disclose information that is required to be disclosed on a statement of economic interest . . . shall be guilty of a Class 1 misdemeanor and subject to disciplinary action under G.S. 163A-415.

N.C.G.S. § 163A-192. Penalty for false information.

A filing person who provides false information on a statement of economic interest . . . knowing that the information is false is guilty of a Class H felony and shall be subject to disciplinary action under G.S. 163A-415.

I affirm that I have reviewed my most recently filed 2018 Statement of Economic Interest and that as of December 31, 2018, my responses continue to be true, correct, and complete to the best of my knowledge and belief.

I affirm under penalty of perjury that the foregoing is true and correct.

Signature

Date

Printed Name

Submit signed, original documents only. Do not fax or e-mail this form.



STATE ETHICS COMMISSION

POST OFFICE BOX 27685

RALEIGH, NC 27611

PHONE: 919-814-3600

Via Email

July 10, 2019

Mr. Harry L. Smith Jr., Chairman
UNC Board of Governors
910 Raleigh Road
Chapel Hill, North Carolina 27514

**Re: Evaluation of Statement of Economic Interest Filed by Mr. Max R. Joyner Jr.
as a Member of the East Carolina University Board of Trustees**

Dear Chairman Smith:

Our office has received **Mr. Max R. Joyner Jr.'s** 2019 Statement of Economic Interest as a member of the **East Carolina University Board of Trustees (the "Board")**. We have reviewed them for actual and potential conflicts of interest pursuant to Chapter 138A of the North Carolina General Statutes ("N.C.G.S."), also known as the Elections and Ethics Enforcement Act (the "Act").

Compliance with the Act and avoidance of conflicts of interest in the performance of public duties are the responsibilities of every covered person, regardless of this letter's contents. This letter, meanwhile, is not meant to impugn the integrity of the covered person in any way. This letter is required by N.C.G.S. § 138A-28(a) and is designed to educate the covered person as to potential issues that could merit particular attention. Advice on compliance with the Act is available to certain public servants and legislative employees under N.C.G.S. § 138A-13.

We did not find an actual conflict of interest, but found the potential for a conflict of interest. The potential conflict identified does not prohibit service on this entity.

N.C.G.S. Chapter 116 provides for the development of a coordinated system of higher education known as the University of North Carolina, a public, multi-campus university governed by the Board of Governors ("the Board"). Each constituent institution of the University has a board of trustees that advises the chancellor of that institution and the Board. In addition, the Board has delegated to each board of trustees the power to adopt personnel policies and appoint, promote, and establish the compensation of the institution's employees; establish and supervise the institution's athletic program; determine student admission and graduation standards; approve campus capital construction projects, including the selection of architects and the approval of building sites; approve or recommend the acquisition and disposition of real property interests according to the property's value; and manage the institution's endowment and trust funds.

The Act establishes ethical standards for certain public servants, and prohibits public servants from: (1) using their positions for their financial benefit or for the benefit of their extended family or business,

N.C.G.S. § 138A-31; and (2) participating in official actions from which they or certain associated persons might receive a reasonably foreseeable financial benefit, N.C.G.S. § 138A-36(a). The Act also requires public servants to take appropriate steps to remove themselves from proceedings in which their impartiality might reasonably be questioned due to a familial, personal, or financial relationship with a participant in those proceedings. N.C.G.S. § 138A-36(c).

Mr. Joyner fills the role of an at-large member on the Board. He is a life insurance salesman for Towne Insurance and serves as the secretary for the nonprofit corporations, Greenville Jobs Now and East Rising, Inc. In addition, he is the secretary of the Greenville Progress Coalition PAC and the East Rising PAC. Mr. Joyner owns financial interests in Pro Bros, LLC and in two real estate related companies, Glenn Arthur, LLC and Crones, LLC. Therefore, Mr. Joyner has the potential for a conflict of interest and should exercise appropriate caution in the performance of his public duties should issues involving any of these entities or interests come before the Board for official action.

In addition to the conflicts standards noted above, the Act prohibits public servants from accepting gifts from (1) a lobbyist or lobbyist principal, (2) a person or entity that is seeking to do business with the public servant's agency, is regulated or controlled by that agency, or has financial interests that might be affected by their official actions, or (3) anyone in return for being influenced in the discharge of their official responsibilities. N.C.G.S. § 138A-32. Exceptions to the gifts restrictions are set out in N.C.G.S. § 138A-32(e).

When this letter cites an actual or potential conflict of interest under N.C.G.S. § 138A-24(e), the conflict must be recorded in the minutes of the applicable board and brought to the membership's attention by the board's chair as often as necessary to remind all members of the conflict and to help ensure compliance with the Act. N.C.G.S. § 138A-15(c).

Finally, the Act mandates that all public servants attend an ethics and lobbying education presentation. N.C.G.S. § 138A-14. Please review the attached document for additional information concerning this requirement.

Please contact our office if you have any questions concerning our evaluation or the ethical standards governing public servants under the Act.

Sincerely,



Mary Roerden, SEI Unit
State Ethics Commission

cc: Max R. Joyner Jr.
Attachment: Ethics Education Guide