

## **DRAFT MINUTES**

December 1, 2016  
University of North Carolina General Administration  
Center for School Leadership Development, Board Room  
Chapel Hill, North Carolina

This joint Strategic Planning meeting of the Committee on Audit, Risk Management, and Compliance and the Committee on Public Affairs was presided over by Chair Walter Davenport and Chair David Powers. The following committee members were also present: Pearl Burris-Floyd, Philip Byers, Hannah Gage, Thom Goolsby, Joe Knott, Marty Kotis, Steven Long, Ann Maxwell, Alex Mitchell, Robert Rippy, Temple Sloan, William Webb, Laura Wiley, and Michael Williford. The following committee members were absent: Frank Grainger and James Holmes.

Chancellors participating were Lindsay Bierman, Harold Martin, and Cecil Staton; and Dr. William Roper.

Staff members present included Lynne Sanders and Jonathan Kappler, and others from General Administration.

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### **1. Call to Order and Approval of OPEN Session Minutes**

The Chair called the meeting to order at 3:38 p.m., on Thursday, December 1, 2016.

### **2. Consideration of Working Draft and Public Input (Item A-1)**

Chair Davenport began the meeting with an explanation of the purpose of the meeting, which was to discuss and gain consensus around the goals and targets related to economic impact and community engagement. Following the introduction, there was a productive discussion among the committee members that resulted in some wording updates. Specifically, the first draft goal, critical workforces, was updated to include reference to increasing high-quality credentials not only in health sciences, STEM, and K-12 education, but to also include “other emerging regional workforce needs.” Additionally, the metric for the community service goal was updated to have the institutions create an implementation plan to assist a North Carolina community or a “region” in need.

### **3. Consideration of Targets (Item A-2)**

After discussion and consensus regarding each topical area, goal statement, and metric, Chair Powers led the joint committee in a discussion of the target for each goal. Chair Powers called upon committee

members who were designated as “goal champions” to provide support and/or additional information about the recommended targets for each goal.

These goal champions had worked with General Administration staff to research the topical areas and develop recommended targets for the committee to consider. For the critical workforces goal, Mr. Long suggested targeting a 25 percent aggregate increase in credentials within the identified areas of study by 2021-22. Mr. Williford recommended that the research productivity goal include a target of increasing combined revenue from research- and development-sponsored program awards and licensing income by \$275 million by 2021-22. The recommended target for the community engagement goal, as presented by Ms. Wiley, was that each constituent institution create an implementation plan to assist a North Carolina community or region in need by fall 2018.

The meeting concluded with consensus around the updated goals and metrics, as well as suggested targets.

There being no further business, the meeting adjourned at 4:28 p.m.

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This meeting of the Committee on Audit, Risk Management, and Compliance was presided over by Chair Walter Davenport. The following committee members, constituting a quorum, were also present: Hannah Gage, Thom Goolsby, Robert Rippey, and William Webb. The following committee member was absent: Michael Williford.

Chancellors participating were Lindsay Bierman and Cecil Staton.

Staff members present included Lynne Sanders and Joyce Boni, and others from General Administration.

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**1. Call to Order and Approval of OPEN Session Minutes of September 7 and 8, 2016 (Item B-1)**

The Chair called the meeting to order at 4:35 p.m., on Thursday, December 1, 2016, and called for a motion to approve the open session minutes of September 7 and 8, 2016.

**MOTION:** Resolved, that the Committee on Audit, Risk Management, and Compliance approve the open session minutes of September 7 and 8, 2016, as distributed.

**Motion:** William Webb

**Motion carried**

## **2. Approval of Open Session Minutes of October 12, 2016 (Item B-2)**

Chair Davenport called for a motion to approve the open minutes of the joint Strategic Planning meeting of the Committee on Audit, Risk Management, and Compliance and the Committee on Public Affairs on October 12, 2016, as distributed.

**MOTION:** Resolved, that the Committee on Audit, Risk Management, and Compliance approve the open session minutes of the joint Strategic Planning meeting on October 12, 2016, as distributed.

**Motion:** William Webb

**Motion carried**

## **3. Review of the Annual Report on Internal Audit Activities for 2015-16 (Item B-3)**

The committee received a review of internal audit activities across the University system for 2015-16 by Ms. Sanders.

## **4. Approval of the Summary of University Internal Audit Plans 2016-17 (Item B-4)**

Ms. Sanders presented the Summary of University Internal Audit Plans for 2016-17. The Internal Audit Plans for 2016-17 required a vote of approval by the committee, with full Board approval through the consent agenda.

**MOTION:** Resolved, that the Committee on Audit, Risk Management, and Compliance approve the Summary of University Internal Audit Plans 2016-17, and recommend it to the full Board of Governors for a vote through the consent agenda.

**Motion:** William Webb

**Motion carried**

## **5. UNC General Administration Office of Internal Audit Update (Item B-5)**

Ms. Boni presented to the committee a status update of UNC General Administration's internal audit plan, and the results and recommendations from two recently issued internal audit reports.

There being no further business, the meeting adjourned at 4:51 p.m.

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Michael Williford, Secretary