Attention to Risk

Tomorrow starts here.®

UNC Board of Governors Audit Committee April 2015

Stacie Tronto, Chief Audit Officer East Carolina University Elizabeth City State University



Agenda...

🕭 Our Pirate Journey

Attention to Risk

Disaster Recovery/Business Continuity Review

\land Internal Audit Staff

\land ECU





Our Pirate Journey...

90's

- 4 to 5 staff
- IT Auditor
- Assurance Services
- Investigations
- Reported to Chief of Staff

Now

- 8 staff
- 2 IT Auditors
- 2 Healthcare Auditors
- Assurance/Consulting Services
- Investigations
- Implemented IIA Standards
- 2 QARs
- Risk Based Audit Plans
- Internal Control Manual
- Fraud Risk Assessments
- Education and Training
- Key Performance Indicators
- Shared Services
- Report to Audit Committee and Chancellor



- **80'**s
 - 1 to 2 staff
 - Financial Statements
 - Bank Reconciliations
 - Reported to CFO

Attention to Risk...

- A Enterprise Risk Management
- 🕭 Top Three Risk Internal Audit
 - Information Technology
 - Compliance Healthcare/Research/Other
 - Athletics
- Integrated Reviews
 - Operational
 - Compliance
 - Information Technology

DR/BCP Testing Review...

- A Perform annually
- Provide feedback on ECU's disaster recovery capability
- Follow-up on previous year's recommendations if necessary
- Results of 2014-2015 review



ECU Staff...



Stacie Tronto – Chief Audit Officer

Wayne Poole – Associate Director/IT Auditor

Tereasa Hopkins – Assistant Director/Senior Auditor

Kevin Newman – IT Auditor

- Bill Kraus Healthcare Auditor
- Amanda Danielson Healthcare Auditor
- Bill Wood Auditor
- Mary Olson University Program Specialist

ECSU Staff:

Sharnita Parker – Director of Internal Audit

