

3. UNC General Administration Internal Audit Update..... Joyce Boni

**Situation:** The Chief Audit Officer is providing an update on UNC General Administration’s internal audit activities.

**Background:** In accordance with the *International Standards for the Professional Practice of Internal Auditing (Standards)* issued by The Institute of Internal Auditors, the Audit Committee Charter and the Internal Audit Charter, the Chief Audit Officer is to provide the committee with periodic updates on the UNC General Administration’s internal audit activities.

**Assessment:** The attached document provides a summary of the fiscal 2016 Audit Plan that was presented to and approved by the committee at the August 2015 meeting. The attachment notes the current status of the various projects that are on the approved plan.

**Action:** This is for information only.



## UNC-GA Internal Audit Plan

Fiscal Year 2015-16

	<b>Budget</b>	<b>Status</b>
<b>Audits/Reviews</b>		
Compliance Audit: Contract & Grant Sub-recipient Monitoring	100	Not Started
Information Technology Audit: End User Data Storage & Security Awareness	100	Not Started
Operational/Internal Control Audit: Payroll Hosted Services	100	Pre-Planning
Special Audit/Review: Unplanned/Hold for new President's Request	100	Not Started
Investigations: Unplanned investigations of internal or external hotline reports and other similar types of investigations	40	Not Started
<b>Follow-up Reviews</b>		
2013 OSA IT Audit of Banner Hosted Services	40	In Process (draft report)
<b>Consultations/Advisory Services/Special Assignments</b>		
Risk Assessment / Audit Plan for FY2017	80	Not Started
Quality Assurance Review	50	In Process
Internal Audit Strategic Plan	40	In Process
Other Consults/Committees: UNC-TV; UNC-GA Operations Team; Annual Self-Assessment of Controls; UNC internal audit committees; Unplanned / Various as Occurs	310	In Process
<b>PY Carryover:</b> Risk Assessment / Audit Plan for FY2016		Completed
<b>Total Direct Hours to UNC-GA internal audit</b>	<b>960</b>	

**Note** – Other work includes internal audit services to UNC-TV, NC School of Science and Mathematics and the State Education Assistance Authority and, as needed, support services to the UNC institutions.