

AGENDA ITEM

4. Policy Review Project - Report DeregulationLynne Sanders

Situation: The Board of Governors retreat in February 2016 and the recent organizational assessment of UNC General Administration revealed needs for adjustments to the UNC Policy Manual to support the following goals:

- Ensure that responsibility for administrative matters is assigned to the President;
- Clarify and streamline policies involving capital construction, real property, and contracting;
- Streamline reporting and eliminate low-value reporting; and
- Eliminate outdated or incorrect provisions of existing policy.

These goals have been assigned to standing committees. The Committee on University Governance will act as the steering committee for this project and the full Policy Review Project description is included in that Committee's meeting materials. The Committee on Audit, Risk Management, and Compliance will be responsible for report deregulation.

Background: The UNC Policy Manual contains nearly 140 separate reporting mandates that require constituent institutions to gather and present detailed information to the President or the Board of Governors on a variety of issues. Some of the reporting requirements are out of date or otherwise no longer necessary. Other reports do not clearly provide information that will inform decision-making by the Board or the President. There are similar challenges with a number of reports that are required by the General Assembly. The Committee on Audit, Risk Management, and Compliance will assemble and review a list of reports required by the UNC Policy Manual, and then assign the reports to appropriate standing committees of the Board of Governors for review and recommendation. This will be considered over several meetings but is expected to be completed by December 2016.

Assessment: An explanation of the Report Deregulation portion of the Policy Review Project will be discussed with the Committee on Audit, Risk Management, and Compliance. Recommended protocol for developing reporting guidelines as well as discussion of project specifics will be presented to the Committee.

Action: This item is for information only.





POLICY REVIEW PROJECT REPORT DEREGULATION

Committee on Audit, Risk Management,
and Compliance

April 14, 2016

The Big Picture

- Part of the Policy Review Project
 - Personnel delegations (Personnel & Tenure)
 - Financial policies – real property and contracting (Budget & Finance)
 - Technical corrections (Governance)
 - Report deregulation (CARMC)
- Reports Required per UNC Policy
- Project Focus

Develop Guidelines

- A well-designed reporting requirement should:
 - Inform decision-making
 - Be relevant to strategic priorities/goals
 - Be designed to reduce burden
 - Support risk management and mitigation
 - Go to the appropriate level (president, chancellor, BOT, BOG)

Project Specifics

- Work Plan
 - Develop list of UNC Policy Manual reports
 - Evaluate and categorize list
 - Assign to standing committees
 - Review and recommend – repeal/revise/retain
 - Report back to CARMC
 - Develop reporting guidelines
 - Timeline
- Committee Updates and Proposed Action
- Process Going-Forward



QUESTIONS?

