

# COMMITTEE ON UNIVERSITY GOVERNANCE

Thursday, August 11, 2011

## Draft Minutes

The Committee on University Governance met Thursday, August 11, 2011, at 1:00 p.m. in the Board Room of the Spangler Center, Chapel Hill.

Committee members present included Chairman Daughtridge, Mr. Grainger, Mr. Harrelson, Dr. McCain, Mr. McMahan, Mr. Powers, and Dr. Roseman. Chairman Gage and many other members of the Board of Governors and Chancellors were in attendance. President Ross, Vice President Luger, Associate Vice President Harrison, and Secretary Corgnati attended as staff.

### Approval of Minutes

Upon motion of Dr. Roseman and seconded by Dr. McCain, the committee voted to approve the minutes of the June 9, 2011 meeting of the committee.

### Task Force on Athletics and Academics

Chairman Daughtridge welcomed President Ross who gave an overview of the origin of the UNC Task Force on Athletics and Academics. Chancellor Ballard, Chairman of the Task Force, then discussed the Report on the Task Force in detail. He and members of the Task Force were available to respond to questions.

### University of North Carolina at Chapel Hill Athletics Update

The Committee received a report from Chancellor Holden Thorp on the UNC-Chapel Hill athletics update. Director of Athletics Richard Baddour and Leslie Strohm, Vice Chancellor and General Counsel, were available to respond to questions.

Dr. Roseman moved, seconded by Dr. McCain, that the Committee go into closed session to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States (including FERPA) or not considered a public record, within the meaning of Chapter 132 of the General Statutes; to consult with an attorney employed or retained by the

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public body in order to preserve the attorney-client privilege and attorney work product; to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee; or to hear or investigate a complaint, charge, or grievance by or against an individual public officer or employee.

CLOSED SESSION

Upon motion of Dr. Roseman and duly seconded, the committee voted to return to open session.

OPEN SESSION

Campus Liaison Responsibilities / Assignments

Chairman Daughtride led a discussion of the campus liaison responsibilities, endorsed the utilization of the trustee nomination form, and asked each member to make him aware of their preference of which constituent and affiliated boards they wish to be assigned to as liaison.

Discussion of Vacancies on Boards and Councils

The committee discussed vacancies on councils and boards of trustees.

There is a partial term ending June 30, 2013, on the University of North Carolina at Asheville Board of Trustees. Upon motion of Dr. Roseman, and seconded by Mr. Powers, the Committee voted to recommend the election of Dr. Ronald Paulus of Asheville to serve. See Appendix A.

There is a partial term ending June 30, 2015, on the University of North Carolina at Pembroke Board of Trustees. Upon motion of Dr. McCain, and seconded by Dr. Roseman, the Committee voted to recommend the election of Robert Caton of Lumberton to serve. See Appendix B.

There is a term ending June 30, 2015, on the North Carolina School of Science and Mathematics Board of Trustees, representing Congressional District 13. Upon motion of Mr. Grainger, and seconded by Dr. McCain, the Committee voted to recommend the appointment of Dr. Thomas Williams of Raleigh to serve. See Appendix C.

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There is a partial term ending June 30, 2015, on the North Carolina School of Science and Mathematics Board of Trustees, representing Congressional District 3. This vacancy will be announced.

There is a partial term ending June 30, 2014, on the University of North Carolina Center for Public Television Board of Trustees. Upon motion of Dr. McCain and seconded by Mr. Powers, the Committee voted to recommend the appointment of Sabrina DeFonce Bengel of New Bern to serve. See Appendix D.

There are three terms ending June 30, 2015, on the North Carolina Arboretum Board of Directors. Upon motion Mr. Powers, seconded by Dr. McCain, the Committee voted to recommend the reappointment of John F.A.V. Cecil of Asheville and the appointment of Dr. David Belcher, Chancellor of Western Carolina University, to serve. Action on the remaining vacancy was deferred. See Appendix E.

There are two new terms ending June 30, 2015, on the North Carolina Arboretum Board of Directors. These vacancies will be announced.

Department of Defense Classified Research

The Committee was aware of the background regarding the accreditation process for the University of North Carolina at Charlotte Closed Facility to meet the requirements of the National Industrial Security Program Operations Manual under which the campus will be conducting research involving Department of Defense classified information. The Board of Governors must pass a resolution naming those members of the Board who will not have access to the classified information and will, therefore not be required to have personal security clearances.

Upon motion of Mr. Harrelson, and seconded by Mr. Grainger, the Committee voted to approve the draft resolution that excludes all members of the Board of Governors from this requirement and will recommend adoption of the resolution to the Board of Governors. See Appendix F.

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Other Business

The Committee received the updated Board of Trustees' meeting schedule.

Upon motion of Dr. McCain, and duly seconded, the committee voted to adjourn.

**Ronald A. Paulus, M.D., M.B.A.**  
 62 Beadle Ln, Asheville, NC 28803-8907  
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## SUMMARY

Proven clinical executive leader with >20 years of progressive senior management experience including large integrated delivery system executive leadership and multi-site public-company operations. Deep, practical knowledge of healthcare services, physician leadership, health information technology, quality and clinical process transformation. Co-founded, built and sold successful software/services company. Core skills include: physician engagement, team building, strategic positioning, and operations optimization.

## WORK EXPERIENCE

**Mission Health System**, Asheville, NC (<http://www.missionhospitals.org/>) August 2010 - Present  
 President and Chief Executive Officer

- Responsible for this \$1+ billion health system comprised of four hospitals, multiple ambulatory care facilities, a >150 member physician group practice and related services.

**Geisinger Health System**, Danville, PA ([www.Geisinger.org](http://www.Geisinger.org)) May 2005 – August 2010  
 EVP, Clinical Operations & Chief Innovation Officer (formerly, EVP, Chief Technology & Innovation Officer)  
*Geisinger, an integrated delivery system comprised of a 800+ multi-specialty group practice, 2 hospitals, a health plan and related services serving >2.5 million consumers in 41 of 67 PA counties, is a nationally recognized leader in health care delivery, innovation, quality and EHR usage.*

- Full P&L responsibility for \$1.5 Billion+ in clinical operations including: 2 hospitals, 800 physicians, 40+ clinics, various ambulatory facilities and more than 8,000 employees.
- Key Accomplishments:
  - Improved net income from prior year 2.6X, from \$32.0 million (2009) to \$84.1 million (2010) producing a 5.1% net operating margin
  - Established and implemented the Geisinger Accelerated Performance Program ("GAPP") to achieve nation-leading quality performance while eliminating \$300 million in annual expense
  - First year GAPP results included significant improvements in hospital mortality (>190 lives saved vs. target of 66, with sepsis mortality rate halved) and > \$115 million in financial improvements
  - Active physician recruiting leader; Clinical Enterprise added ~100 new physicians during 2010
- Responsible for system-wide innovation, including: new models of care (e.g., patient-centered Medical Home, ProvenCare acute episode bundling with a "warranty") consumer activation, and leveraging Geisinger's EHR and data warehouse to achieve transformational improvement in quality and efficiency.

**CareScience, Inc.**, Philadelphia, PA and **Quovadx, Inc.**, Evergreen, CO March 1993 – May 2005  
 Chief Executive Officer (previously President and Chief Operating Officer) - CareScience  
 Chief Healthcare Officer – Quovadx (post acquisition)  
*CareScience is a leading provider of care management solutions to more than 200 hospitals in 35 states. Quovadx acquired CareScience (September 2003) to enhance its profitability and extend its clinical offering. CareScience is now part of Premier Informatics (<http://www.premierinc.com/about/news/07-apr/premier-acquires-carescience.jsp>) with its clinical methodologies now used by more than 600 hospitals nationwide.*

- For CareScience:
  - As President and Chief Operating Officer, grew CareScience from 4 employees, 1 customer and less than \$100,000 in revenue backlog to more than 200 customers, 100 employees, a revenue run rate greater than \$15 million and a revenue backlog (non-cancelable customer contracts with subscription revenue not yet recognized) of > \$35 million, with a 6 year compound annual growth rate > 30%. Co-lead in securing angel, venture and public capital to support company growth.

- Personally supported and closed more than \$40 million in multi-year contracts, including some of the largest and most prestigious healthcare delivery organizations in the United States.
- Established first healthcare Internet-based application service provider model (1996), now hosting many terabytes of data with greater than 99% uptime since inception. Pioneered commercialization of nation's first peer-to-peer, cross-enterprise, regional clinical data exchange.
- Negotiated 30-year exclusive license agreements with the University of Pennsylvania (clinical analysis methods) and the California Healthcare Foundation (peer-to-peer clinical data exchange).
- Appointed CEO in 2003 and consolidated operations to focus on the core business and one growth business, reducing operating expenses > 35% or \$2 million/quarter. Shut down remote offices, consolidated staff, outsourced aspects of development and closed non-performing business units.
- Transformed \$2.5 million Q2 2003 loss to Q4 2003 profit of more than \$200,000 with record sales, revenue and backlog.
- For Quovadx (post acquisition):
  - Led CareScience sale and successful Quovadx transition with no unplanned turnover, record revenue, sales and contract backlog with four consecutive positive net income quarters.
  - Served as healthcare thought leader driving company strategy and product development, including a reduction from more than five business units to three core operating divisions with direct P&L accountability and decentralized R&D.

**Salick Health Care, Inc., Los Angeles, CA**

June 1989 - March 1993

Vice President-Operations; Managing Director (INFUSX, Inc. subsidiary)

*Salick Health Care was a national provider of ambulatory oncology and dialysis related services later purchased by Zeneca Pharmaceuticals (<http://www.aptiomoncology.com/about/aptiom.html>).*

- Managed the development and ongoing operations of three outpatient cancer centers; grew those centers from startup to more than \$25 million in combined annual revenue in three years.
- Chief executive for INFUSX, Salick's alternate-site care subsidiary; grew business from startup to more than \$3 million in revenue during first year of operation.
- Built business plan approach and led extensive hospital contract negotiations for 30-year exclusive business outsourcing agreements encompassing all hospital-based ambulatory oncology programs.

**EDUCATION AND TRAINING**

**The University of Pennsylvania, Philadelphia, PA**

*M.D., Recommendation Outstanding, The School of Medicine*

May 1988

*M.B.A., with Distinction, concentration in Health Care Management, The Wharton School*

May 1988

*B.S., Summa Cum Laude, concentration in Health Policy, The Wharton School*

May 1984

- Designated a Penn Medical Scholar, a University Scholar (reserved for less than 1% of undergraduate students) and a Benjamin Franklin Scholar (University of Pennsylvania's general honors program).

**U.C.L.A. Medical Center, Los Angeles, CA**

June 1988 - June 1989

*Medical Resident, U.C.L.A. Department of Internal Medicine*

- Scored in top 10% of the U.S. on National Boards Part III; active California medical license.

**OTHER**

- Frequent contributor to Institute of Medicine panels. Past member: JCAHO Technical Advisory Board and Health Information Technology Roundtable, Information Technology Workgroup of the Academic Medicine and Managed Care Forum, the University of Pennsylvania School of Medicine Alumni Leadership Council and the Alliance for Advancing Non-Profit Healthcare Information Technology Task Force. Lecturer, Wharton Healthcare MBA program. National speaker on healthcare integration, physician leadership development, clinical innovation, quality, and pay-for-performance.

## PEER REVIEWED PUBLICATIONS and COMMISSIONED WHITE PAPERS

- Steele, GD et. al. *How Geisinger's Advanced Medical Home Model Argues The Case For Rapid-Cycle Innovation*. Health Affairs 29, No. 11 (2010) 2047-2053.
- Gilfillan, Richard et. al. *Value and the Medical Home: Effects of Transformed Primary Care*. The American Journal of Managed Care, 2010;16(8):607-614.
- Paulus, RA, Davis, KD, Steele, Glenn D. *Continuous Innovation in Health Care: Implications of The Geisinger Experience*. Health Affairs 27, No. 5 (2008): 1235-1245.
- Casale, Alfred S. MD; Paulus, Ronald A. MD; Selna, Mark J. MD; Doll, Michael C. PA-C; Bothe, Albert E. Jr. MD; McKinley, Karen E. RN; Berry, Scott A. MS; Davis, Duane E. MD; Gilfillan, Richard J. MD; Hamory, Bruce H. MD; Steele, Glenn D. Jr. MD. *"ProvenCare<sup>SM</sup>": A Provider-Driven Pay-for-Performance Program for Acute Episodic Cardiac Surgical Care*. Annals of Surgery. 246(4):613-623, October 2007.
- Stewart, W.F., Shah, N.R., Selna, M.J., Paulus, R.A., Walker, J.M. *Bridging the Inferential Gap: The Electronic Health Record and Clinical Evidence*. Health Affairs – Web Exclusive, 2007; w181-w191.
- Paulus, RA. *Second Generation Pay-for-Performance: A New Paradigm*. HCT Project Volume 2, July 2004. Montgomery Research ([http://www.hctproject.com/documents.asp?d\\_ID=2705](http://www.hctproject.com/documents.asp?d_ID=2705)).
- Brailer, D.J., L. Kim, RA Paulus. *Physician-Led Clinical Performance Improvement: A New Model for Quality Management*. Journal of Clinical Outcomes Management. September/October 1997;4;5:33-36.
- Brailer, D.J., S. Goldfarb, M. Horgan, F. Katz, RA Paulus and K. Zakrewski. *Improving Performance with Clinical Decision Support*. Joint Commission Journal on Quality Improvement. July, 1996;22;7:443-456.
- Cebul, R.D., RA Paulus. *The Failure of Intravenous Digital Subtraction Angiography in Replacing Carotid Arteriography*. Annals of Internal Medicine. 1986;104;4:572-574.
- Hartzell, A.K., RA Paulus, J. Schulkin. *Brief Preoperative Exposure to Saline Protects Rats Against Behavioral Impairments in Salt Appetite Following Central Gustatory Damage*. Behavioral Brain Research. 1985;15;9-13.
- Paulus, RA, R. Eng, J. Schulkin. *Preoperative Latent Place-Learning Preserves Salt Appetite Following Central Gustatory Damage*. Behavioral Neuroscience. 1984;98;1:146-151.

## RECENT LECTURES AND PRESENTATIONS

- National Health IT and Delivery Transformation Summit, Washington, DC (June, 2011). *Information Technology-Driven Analytics*. Paulus, RA, Speaker and Panelist.
- North Carolina Medical Care Commission, Raleigh, NC (May, 2011). *A Vision for Healthcare in Western North Carolina*. Paulus, RA, Speaker.
- North Carolina Medical Society, Highpoint, NC (April, 2011). *Accountable Care Organizations (and other things that go bump in the night...)*. Paulus, RA, Speaker.
- Duke Fuqua School of Business Health Sector Advisory Council Durham, NC (April, 2011). *Accountable Care Organizations: Hope or Hype?* Paulus, RA Speaker.
- Aligning Forces for Quality: Better Health, Great Cleveland Cleveland, OH (March, 2011). *The Patient Centered Medical Home: Is there a 'Secret Sauce' to Make an Old Idea Sizzle?* Paulus, RA, Keynote Speaker.
- Booz Allen Health Leadership Council, Washington, DC (March, 2011). *Innovation in Integrated Delivery Systems*. Paulus, RA, Keynote Speaker.
- NC State University: Institute for Emerging Issues Forum: Healthcare Innovation, Raleigh, NC (February, 2011). *How to Scale Innovation in Healthcare*. Paulus, RA, Keynote Speaker.
- American Hospital Association/Health Forum Leadership Summit, San Diego, CA (July, 2010). *Transformation Through Innovation: The Geisinger Health System Story*. Paulus, RA, Panel Speaker.
- Making it Work: Healthcare Reform in Rhode Island, Warwick, RI (June, 2010). *The Best Healthcare Delivery System for Rhode Island – The Geisinger Example*. Paulus, RA, Keynote Speaker.
- University of Pennsylvania School of Medicine, Philadelphia, PA (April, 2010). *The Role of Technology in Healthcare Reform*. Paulus, RA, Lecturer.
- Healthcare Coalition of Texas, Austin, TX (April, 2010). *Innovation After Health Reform: Necessary to Thrive, or Required to Survive?* Paulus, RA, Keynote Speaker and Panelist.

## RECENT LECTURES AND PRESENTATIONS (cont.)

- University of California, Irvine Annual Healthcare Conference, Irvine, CA (February, 2010). *Innovations in Reducing Cost and Improving Quality*. Paulus, RA, Keynote Speaker.
- eHealth Initiative Annual Conference, Washington, DC (January, 2010). *Successfully Integrating Health IT to Improve Health Care*. Paulus, RA Keynote Speaker.
- Press Ganey National Client Conference 2009, National Harbor, MD (November 2009). *Clinical Transformation: A Joint Clinical-Executive Responsibility*. Paulus, RA, Keynote Speaker.
- 5th Annual World Healthcare Innovation & Technology Congress, Alexandria, VA (November, 2009). *Patient Engagement: The Key to Changing Our Current Health Care System*. Paulus, RA, Keynote Panelist.
- Advanced Medical Technology Association (AdvaMed) National Conference and Board Meeting, Washington, DC (October, 2009). *Bundling and Shared-Savings Models: Impact on Medical Technology*. Paulus, RA, Keynote Panelist and *Accountable Care Organizations: Impact on Medical Technology Use*. Paulus, RA, Speaker.
- Institute of Medicine: The Healthcare Imperative: Lowering Costs and Improving Outcomes, Washington, DC (September, 2009). *Targeting Care for the Medically Complex Patient: Policy Implications*. Paulus, RA, Speaker.
- Integrated Healthcare Association National Hospital Payment Reform Summit, Washington, DC (September, 2009). *Private Sector Experience with Payment Reform: Geisinger Health System Example*. Paulus, RA Keynote Speaker.
- American Association of Physician Liaisons, Orlando, FL (June, 2009). *Future Healthcare Trends: What you Need to Know (Like it or not...)*. Paulus, RA Keynote Speaker.
- Health IT and Health Reform: Linking Incentives to Drive Accountability and Value, Brookings Institution, Washington, DC (May, 2009). *Delivering on the Promise of HIT - Lessons Learned from Ongoing Initiatives*. Paulus, RA, Panelist.
- 6<sup>th</sup> Annual World Healthcare Congress, Washington, DC (April, 2009). *Engaging Consumers in Health Promotion and Care Management*. Paulus, RA, Speaker.
- Center for American Progress Action Fund, Washington, DC (April, 2009). *Keynote Address: Can Health Reform Deliver for Providers?*. Paulus, RA Speaker.
- Integrated Healthcare Association Fourth National Pay for Performance Summit, San Francisco, CA (March, 2009). Keynote Address: A Prescription for Real Change: *Beyond Pay-for-Performance and "Magic HIT Pixie Dust"*. Paulus, RA, Speaker.
- Association of American Medical Colleges National Conference: Doing Better with Less – Managing for Success in an Era of Constrained Resources, Las Vegas, NV (February, 2009). *Managing Variation, Quality and Safety While Improving the Bottom Line*. Paulus, RA, speaker and moderator.
- Institute for Healthcare Improvement National Forum on Quality Improvement, Nashville, TN (December, 2008). *Health Information Technology, Physician and Board Engagement*. Paulus, RA, Speaker.
- Cleveland Clinic Ventures Grand Rounds, Cleveland, OH (August, 2008). *Leveraging Innovation for Commercial Success*. Paulus, RA, Speaker.
- The Leadership Institute Roundtable, Pebble Beach, CA, (March, 2008). *Enabling Healthcare Innovation*. Paulus, RA, Speaker.
- Institute of Medicine Roundtable on Evidence-based Medicine, Redesigning the Clinical Effectiveness Research Paradigm: Innovation and Practice-Based Approaches, Washington, D.C. (December, 2007). *EHRs, Clinical Effectiveness and Research: Latent Opportunities*. Paulus, RA, Speaker.
- World Healthcare Innovation & Technology Congress, Washington, D.C. (December, 2007). *Developing A Novel, Provider-Driven Pay-For-Performance Model Enabled by an EHR: ProvenCare<sup>SM</sup>*. Paulus, RA, Speaker.
- World Healthcare Innovation & Technology Congress, Washington, D.C. (December, 2007). *Adoption of Standard EHR Safety Criteria*. Paulus, RA, Panel Moderator.
- World Healthcare Innovation & Technology Congress, Washington, D.C. (December, 2007). *Fostering Innovation from Within*. Paulus, RA, Keynote Panelist.



## **Robert E. Caton**

312 Barker Ten Mile Road  
Lumberton, NC 28358  
(910) 738-7750

### **Education:**

1959          Florida State University  
                B.S., Business

### **Professional Career**

Current          Silver Eagle Development Co., Lumberton, NC, President  
~30 years      Eagle Distributing Company, Lumberton, NC, President & CEO

### **Personal**

Wife, Fay Garden of Thomaston, GA.  
Children, Karen and Bobby

### **Service**

1992-2001      UNC Pembroke Board of Trustee (Governor Appointee)  
2009-Present   UNC-Pembroke Foundation Board member  
1985-Present   UNCP Chancellor's Club member  
2010            St. Francis De Sales Catholic Church, Finance Council  
2009            BB&T, Board of Directors

**THOMAS J. WILLIAMS, Ed. D.**

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<b>EDUCATION:</b>	B.S., 1976	Boston College Pembroke State University Elementary Education
	M.A. ED., 1980	East Carolina University
	ED.S., 1982	Educational Leadership
	Ed.D., 2010	East Carolina University Educational Leadership
<b>PROFESSIONAL EXPERIENCE:</b>	2007 - Present	President Strategic Educational Alliances, Inc.
	August – November 2010	Interim Chancellor NC School of Science and Math
	2000 - 2007	Superintendent Granville County Schools
	1994 - 2000	Executive Director NC Business Committee for Education Office of the Governor
	1991 – 94	Assistant Superintendent Johnston County Schools
	1988 – 91	Principal South Granville High School
	1987 – 88	Principal North Johnston Middle School
	1986 - 87	Executive on Loan - SDPI Principal's Leadership Institute
	1980 - 86	Principal Benson Elementary School
	1979 - 80	Assistant Principal Four Oaks Elementary School
	1975 - 79	Teacher/Coach Wake County Schools
<b>CONSULTATION EXPERIENCE:</b>	Institute of Government, Principal's Executive Program Chapel Hill, NC	
	National Governor's Quality in Education Conferences	
	North Carolina Quality Leadership Foundation Education Award Task Force/Examiner Training	
	State Department of Public Instruction Leadership Institute for Administrators	
	National Association of Secondary School Principals Assessment Center	
	Presentations to local school systems, chambers of commerce, higher education, and educational organizations	

**PROFESSIONAL  
MEMBERSHIPS:**

American Association of School Administrators  
N.C. Association of School Administrators  
Association of Supervision and Curriculum Development  
Phi Delta Kappa - Carolina Chapter

**CURRENT AND  
PAST BOARDS/ OR  
COMMUNITY  
SERVICE:**

eLearning Commission of North Carolina  
Rotary International – Oxford Club  
ECU College of Education Advancement Council  
ECU Chancellor’s Network  
NC Science, Math, and Technology Education Center  
NC Professional Teaching Standards Board  
NC School of Science and Math Education Advisory Council  
Granville Education Foundation  
Granville County Chamber of Commerce  
NC REAL (Rural Entrepreneurship through Action Learning)  
NC Partnership for Excellence  
Public School Forum  
Education: Everybody’s Business Coalition  
State JobReady Partnership Council  
Communities in Schools of NC  
NC School Improvement Panel  
NC Partners  
Benson Area Chamber of Commerce, Past President  
South Granville Exchange Club  
United Way, Granville County Steering Committee  
Greater Smithfield-Selma Chamber of Commerce

**Introduction**

Prior to founding Strategic Educational Alliances, Inc. in late 2007, Tom Williams served the public schools of North Carolina for thirty-two years. Most recently, he served as superintendent of the Granville County Schools from 2000 until his retirement on June 30, 2007. In the summer of 2010, he was appointed the Interim Chancellor of the North Carolina School of Science and Mathematics. He served over six years as the Executive Director for the North Carolina Business Committee for Education (NCBCE) under Governor James B. Hunt, Jr. beginning in 1994 through 2000. Tom spent the previous 19 years in the public schools of North Carolina. Beginning as a teacher/coach in the Wake County Schools, he has been an elementary, middle, and high school principal in both Johnston and Granville Counties. From 1991-1994, he served as an assistant superintendent with the Johnston County Schools. After transferring from Boston College, Tom received his undergraduate degree in Elementary Education from Pembroke State University and his M.A.Ed., Ed.S., and Ed.D. in Educational Leadership from East Carolina University. He also had experience serving as an Executive on Loan at the Department of Public Instruction in the Principals Leadership Institute under the leadership of State Superintendent Craig Phillips.

Tom was recognized by Governor James B. Hunt, Jr. with the state’s highest honor, the “Order of the Long Leaf Pine” in 2000 as well as a Distinguished Service Award from the State Board of Education and Department of Public Instruction. He was inducted into the East Carolina University “Educators Hall of Fame” in 2002. In 2003, Tom was first recognized as a Rotary International Paul Harris Fellow by the Oxford Rotary Club and in 2007 received Rotary’s second pinning as a Paul Harris Fellow for outstanding community service. In 2005 he was honored as the Outstanding Alumni by the University of North Carolina- Pembroke.

Tom and his wife of thirty-eight years, Lisa, are avid dog lovers and are the proud “parents” of a rescued Greyhound, Nija and a rescued Moodle, Homie.



**Sabrina DeFonce Bengel**  
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 New Bern, North Carolina 28560  
 252-638-6780 (home)  
 252-514-4734 (cell)  
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Harrison High School, Harrison New York 1974  
 Quinnipiac College, Hamden, Connecticut 1974-1976  
 East Carolina University, Greenville, North Carolina 1977-1979

Elected in 2009(4 yr term) as Alderman of the First Ward – City of New Bern  
 Appointed to North Carolina Travel & Tourism Board April 2011 by Senate Pro Tem Berger

Married 31 years to Steve Bengel ECU Class of 1979 BS Business Administration  
 Owner R.E. Bengel Sheet Metal Company – doing business in Craven County since 1910  
 Two Children: Buddy 29 - Quinnipiac University Class of 2004

Vice President & Director of Operations - Morehead City Marlins Baseball  
 Becky 27 - Wofford College Class of 2006 - Executive Assistant, Lightyear Capital Inc, NYC  
 28 years in the Travel Industry - Owned and Operated NS Travel Inc for 10 years 1989 - 1999  
 Marketing Manager for Quixote Travels, Inc. for 5 years  
 President New Bern Tours & Convention Services – New Bern's Trolley Car Tours  
 President and CEO Riverfront Sports & Entertainment Group  
 Managing Partner, "The Birthplace of Pepsi"

Past Chairman Friends of the New Bern Firemen's Museum – currently ex-officio member  
 Past Chairman Craven County Tourism Development Authority serving 2 separate terms as Chairman  
 Current Craven County Tourism Development Authority Board Member representing the City of New Bern  
 Swiss Bear Downtown Development Corp. Board of Directors member  
 Past Chairman of the Board of the East Carolina University Alumni Association  
 Current member East Carolina University Board of Visitors  
 Current member East Carolina University Joyner Library Advancement Council  
 Secretary and Honorary Member of New Bern/JT Barber High School Athletic Hall of Fame

Past Board of Directors member of Craven Community College Foundation  
 Past Board of Directors member of the New Bern Area Chamber of Commerce  
 Past Board of Directors member Craven Arts Council  
 Past Board of Directors member of the Carolina East Medical Center Foundation  
 Past President of Downtown Business and Professional Association  
 Past President of Historic District Residents Association  
 Served as Chairman of the Convention Center Development Committee  
 Past Chairman Craven County Tourism Development Authority Marketing Committee  
 Past Chairman of the Carolina East Medical Foundation's Festival of Trees

Active member of the Breakfast Rotary Club and the Downtown Business Council  
 Named a Woman of Achievement by the North Carolina Federation of Women's Club in 2011  
 Named Entrepreneur of the Year by the Chamber of Commerce in 1994  
 Recipient of the Paul Harris Fellow by the New Bern Breakfast Rotary Club in 2004

I am passionate about my community, my university, and Eastern North Carolina. I remain active in all that helps to assist and promote its most valuable resource, its people.



BILTMORE FARMS™  
Established 1897

**John F.A.V. Cecil - President, Biltmore Farms, LLC – Asheville, NC**

Mr. Cecil has served as President and CEO of Biltmore Farms, LLC, since 1992. Established in 1897, Biltmore Farms has focused its efforts on community building, through completing an extensive portfolio of real estate projects from a regional shopping mall, corporate offices, hotels, mixed-use master planned communities, urban villages, medical office buildings and over 600 homes.

Mr. Cecil is past Chairman and member, Board of Directors, Mission Health, Inc., and Mission Hospitals, Inc.; Executive Committee, Secretary, and Director, N.C. Biotechnology Center; Board of Trustees, Carolina Day School; Director, N.C. Arboretum; Member of the National Advisory Board, Institute for Emerging Issues; Chair, WNC Regional Advisory Board, Wachovia Bank, N.A.; Governor, Urban Land Institute Foundation, and Member, Urban Land Institute, serving on the Responsible Property Investment Council.

Mr. Cecil received his M.I.M. from The American Graduate School of International Management (Thunderbird) and his B.A. from UNC-Chapel Hill.

He has been happily married to Sarah M. Cecil since 1993, and they have four sons, Thomas, Hugh, John, and Owen.

# The University of North Carolina

## BOARD OF GOVERNORS

August 12, 2011

I, Dudley E. Flood, do hereby certify that I am the Secretary of the Board of Governors of the University of North Carolina, a state agency organized and existing under the laws of the State of North Carolina, and that the following is a true and correct copy of a resolution adopted by the Board of Governors of the said agency at a meeting held at the University of North Carolina Spangler Center in Chapel Hill, North Carolina on August 12, 2011, at which time a quorum was present.

**WHEREAS**, current Department of Defense Regulations contain a provision making it mandatory that the senior management official meet the personnel clearance requirements established for a contractor's facility clearance; and

**WHEREAS**, said Department of Defense Regulations permit the exclusion from the personnel clearance requirements of certain members of the Board of Governors and other officers, provided that this action is recorded in the corporate minutes.

**NOW THEREFORE BE IT DECLARED** that the President of the University of North Carolina at the present time possesses, or will be processed for, the required security clearance; and

**BE IT RESOLVED** that in the future, when any individual enters upon any duties as the President of the University of North Carolina such individual shall immediately make application for the required security clearance; and

**BE IT RESOLVED FURTHER** that the following members of the Board of Governors and other officers, shall not require, shall not have, and can be effectively excluded from access to **CLASSIFIED** information in the possession of the corporation.

NAME	TITLE
Brent David Barringer	Member
Atul Champak Bhula	Member, <i>Ex officio</i>
Winston Louis Bissette, Jr.	Member
John Michael Blackburn	Member
Peaches Gunter Blank	Member
Laura Walton Buffaloe	Member

Constituent Universities  
Appalachian State  
University

East Carolina  
University

Elizabeth City State  
University

Fayetteville State  
University

North Carolina  
Agricultural and  
Technical State  
University

North Carolina  
Central University

North Carolina  
State University  
at Raleigh

University of  
North Carolina  
Asheville

University of  
North Carolina  
at Chapel Hill

University of  
North Carolina  
at Charlotte

University of  
North Carolina  
at Greensboro

University of  
North Carolina  
at Pembroke

University of  
North Carolina  
at Wilmington

University of  
North Carolina  
School of the Arts

Western Carolina  
University

Winston-Salem State  
University

Constituent High School  
North Carolina  
School of Science  
and Mathematics

An Equal Opportunity/  
Affirmative Action  
Employer

William Gray Daughtridge, Jr.	Member
Walter Conaway Davenport	Member
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David Wallace Young	Member

**WITNESS WHEREOF** I have hereunto set my hand and affixed the seal of The Board of Governors of the University of North Carolina this 12<sup>th</sup> day of August 2011.

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**Dudley E. Flood, Secretary of the Board of  
Governors of the University of North Carolina**