

APPENDIX C

UNC General Administration
Office of Internal Audit
Annual Engagement Plan
By Type
FY 2014-2015

Description	Budget Status	Budgeted Hours	%age of Total	Risk Ranking
Operational Audits:				
None				
Total Operational Audit Hours		0	0%	
Compliance Audits:				
Contract and Grant Sub-recipient Monitoring	WIP	100	12%	High
Total Compliance Audit Hours		100	12%	
Information Technology Audits:				
End User Data Storage and Security Awareness (UNC GA)	CYP	100	12%	High
Total Information Technology Audit Hours		100	12%	
Special Reviews:				
Non-planned Reviews Requested During 2014-2015	CYP	100	12%	NA
Total Special Review Audit Hours		100	12%	
Follow-Up Reviews:				
None				
Total Follow-Up Review Audit Hours		0	0%	
Other/Special Projects:				
Risk Assessment/Audit Planning 2015-2016	CYP	50	6%	NA
Consultations/Committees:	CYP	378	44%	NA
Internal Audit Strategic Planning				
Quality Assurance Review				
PeopleSoft Implementation				
NCSEAA Vulnerability Assessment of Systems Report				
Total Other/Special Project Hours		428	50%	
Total Direct Audit Hours		728	84%	
Administration	CYP	50	6%	NA
Leave	CYP	64	7%	NA
Professional Development	CYP	20	2%	NA
Total Indirect Audit Hours:		134	16%	
Grand Total Audit Hours		860	100%	

President/Date

UNC BOG Audit Committee Chair/Date

Budget Status

BF = Brought Forward From Previous Year's Plan

AYP = Added to Current Year Plan

CYP = Current Year Plan

CYP-B = Current Year Plan (Budgeted under Special Reviews - Pending)

WIP = Work-In-Progress